

**AREA AGENCY ON AGING OF PASCO-PINELLAS, INC.
STANDARD CONTRACT**

OLDER AMERICANS ACT

THIS CONTRACT is entered into between Area Agency on Aging of Pasco-Pinellas, Inc. (AAAPP) and **Pasco County Board of County Commissioners**, (Sub-Recipient), collectively referred to as the “Parties.” The term Contractor for this purpose may designate a Vendor, Subgrantee or Subrecipient.

WITNESSETH THAT:

WHEREAS, the AAAPP has determined that it is in need of certain services as described herein; and **WHEREAS**, the Sub-Recipient has demonstrated that it has the requisite expertise and ability to faithfully perform such services as an independent Contractor of the AAAPP.

NOW THEREFORE, in consideration of the services to be performed and payments to be made, together with the mutual covenants and conditions hereinafter set forth, the Parties agree as follows:

1. Purpose of Contract:

The purpose of this Contract is to provide services in accordance with the terms and conditions specified in this contract including all attachments, forms and exhibits, which constitute the contract document.

2. Incorporation of Documents within the Contract:

The contract will incorporate attachments, proposal(s), state plan(s), grant agreements, relevant Department of Elder Affairs (DOEA) handbooks, manuals or desk books, as an integral part of the contract, except to the extent that the contract explicitly provides to the contrary. In the event of conflict in language among any of the documents referenced above, the specific provisions and requirements of the contract document(s) shall prevail over inconsistent provisions in the proposal(s) or other general materials not specific to this contract document and identified attachments.

3. Term of Contract:

This contract shall begin at twelve (12:00) A.M., Eastern Standard Time **January 1, 2021** or on the date the contract has been signed by the last party required to sign it, whichever is later. It shall end at eleven fifty-nine (11:59) P.M., Eastern Standard Time **December 31, 2021**.

4. Contract Amount:

The AAAPP agrees to pay for contracted services according to the terms and conditions of this contract in an amount not to exceed **\$142,518.00**, subject to the availability of funds. Any costs or services paid for under any other contract or from any other source are not eligible for payment under this contract.

5. Renewals:

By mutual agreement of the Parties, in accordance with Section 287.058(1)(g), Florida Statutes (F.S.), the AAAPP may renew the contract for a period not to exceed three years, or the term of the original contract, whichever is longer. The renewal price, or method for determining a renewal price, is set forth in the bid, proposal, or reply. No other costs for the renewal may be charged. Any renewal is subject to the same terms and conditions as the original contract and contingent upon satisfactory performance evaluations by the AAAPP and the availability of funds.

6. Compliance with Federal Law:

6.1 If this contract contains federal funds this section shall apply.

6.1.1 The Sub-Recipient shall comply with the provisions of 45 Code of Federal Regulations (CFR) 75 and/or 45 CFR Part 92, 2 CFR Part 200 and other applicable regulations.

6.1.2 If this contract contains federal funds and is over \$100,000.00, the Sub-Recipient shall comply with all applicable standards, orders, or regulations issued under Section 306 of the Clean Air Act as amended (42 United States Code (U.S.C.) 7401, et seq.), Section 508 of the Federal Water Pollution Control Act as amended (33 U.S.C. 1251, et seq.), Executive Order 11738, as amended, and where applicable Environmental Protection Agency regulations 2 CFR Part 1500. The Sub-Recipient shall report any violations of the above to the AAAPP.

- 6.1.3** The Sub-Recipient, or agent acting for the Sub-Recipient, may not use any federal funds received in connection with this contract to influence legislation or appropriations pending before the Congress or any state legislature. The Sub-Recipient must complete all disclosure forms as required, specifically the Certification and Assurances Attachment, which must be completed and returned to the AAAPP prior to the execution of this contract.
- 6.1.4** In accordance with Appendix II to 2 CFR Part 200, the Sub-Recipient shall comply with Executive Order 11246, Equal Employment Opportunity, as amended by Executive Order 11375 and others, and as supplemented in Department of Labor regulations 41 CFR Part 60 and in Department of Health and Human Services regulations 45 CFR Part 92, if applicable.
- 6.1.5** A contract award with an amount expected to equal or exceed \$25,000.00 and certain other contract awards will not be made to parties listed on the government-wide Excluded Parties List System, in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 and 12689, “Debarment and Suspension.” The Excluded Parties List System contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549. The Sub-Recipient shall comply with these provisions before doing business or entering into subcontracts receiving federal funds pursuant to this contract. The Sub-Recipient shall complete and sign the Certifications and Assurances Attachment prior to the execution of this contract.
- 6.2** The Sub-Recipient shall not employ an unauthorized alien. The AAAPP will consider the employment of unauthorized aliens a violation of the Immigration and Nationality Act (8 U.S.C. 1324a) and the Immigration Reform and Control Act of 1986 (8 U.S.C. 1101). Such violation will be cause for unilateral cancellation of this contract by the AAAPP.
- 6.3** If the Sub-Recipient is a non-profit provider and is subject to Internal Revenue Service (IRS) tax exempt organization reporting requirements (filing a Form 990 or Form 990-N) and has its tax exempt status revoked for failing to comply with the filing requirements of the Pension Protection Act of 2006 or for any other reason, the Sub-Recipient must notify the AAAPP in writing within thirty (30) days of receiving the IRS notice of revocation.
- 6.4** The Sub-Recipient shall comply with Title 2 CFR Part 175 regarding Trafficking in Persons.
- 6.5** Unless exempt under 2 CFR §170.110(b), the Sub-Recipient shall comply with the reporting requirements of the Transparency Act as expressed in 2 CFR Part 170.
- 6.6** To comply with Presidential Executive Order 12989, as amended, and State of Florida Executive Order Number 11-116, Sub-Recipient agrees to utilize the U.S. Department of Homeland Security's E-verify system to verify the employment of all new employees hired by Sub-Recipient during the contract term. Sub-Recipient shall include in related subcontracts a requirement that Subcontractors performing work or providing services pursuant to the state contract utilize the E-verify system to verify employment of all new employees hired by the Subcontractor during the contract term. Sub-Recipients meeting the terms and conditions of the E-Verify System are deemed to be in compliance with this provision.
- 7. Compliance with State Law:**
- 7.1** This contract is executed and entered into in the State of Florida, and shall be construed, performed and enforced in all respects in accordance with Florida law, including Florida provisions for conflict of laws.
- 7.2** If this contract contains state financial assistance funds, the Sub-Recipient shall comply with Section 215.97, F.S., and Section 215.971, F.S., and expenditures must be in compliance with laws, rules, and regulations, including, but not limited to, the Reference Guide for State Expenditures.
- 7.3** The Sub-Recipient shall comply with the requirements of Section 287.058, F.S. as amended.
- 7.3.1** The Sub-Recipient shall perform all tasks contained in Attachment I.
- 7.3.2** The Sub-Recipient shall provide units of deliverables, including reports, findings, and drafts, as specified in Attachment I, to be received and accepted by the contract manager prior to payment.
- 7.3.3** The Sub-Recipient shall comply with the criteria and final date by which such criteria must be met for completion of this contract as specified in Attachment I, Section III. Method of Payment.

- 7.3.4** The Sub-Recipient shall submit bills for fees or other compensation for services or expenses in sufficient detail for a proper pre-audit and post-audit.
- 7.3.5** If itemized payment for travel expenses is permitted in this contract, the Sub-Recipient shall submit bills for any travel expenses in accordance with Section 112.061, F.S., or at such lower rates as may be provided in this contract.
- 7.3.6** The Sub-Recipient shall allow public access to all documents, papers, letters, or other public records as defined in subsection 119.011(12), F.S., made or received by the Sub-Recipient in conjunction with this contract except for those records which are made confidential or exempt by law. The Sub-Recipient's refusal to comply with this provision will constitute an immediate breach of contract for which the AAAPP may unilaterally terminate this contract.

- 7.4** If clients are to be transported under this contract, the Sub-Recipient shall comply with the provisions of Chapter 427, F.S., and Rule Chapter 41-2, Florida Administrative Code (F.A.C).
- 7.5** Subcontractors who are on the Discriminatory Vendor List may not transact business with any public entity, in accordance with the provisions of Section 287.134, F.S.
- 7.6** The Sub-Recipient shall comply with the provisions of Section 11.062, F.S., and Section 216.347, F.S., which prohibit the expenditure of contract funds for the purpose of lobbying the legislature, judicial branch or a state agency.
- 7.7** The AAAPP may, at its option, terminate the Contract if the Sub-Recipient is found to have submitted a false certification as provided under section 287.135(5), F.S., has been placed on the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, the Scrutinized Companies with Activities in Sudan List, or the Scrutinized Companies that Boycott Israel List, or if the Sub-Recipient has been engaged in business operations in Cuba or Syria or is engaged in a boycott of Israel.

8. Background Screening:

The Sub-Recipient shall ensure that the requirements of Section 430.0402 and Chapter 435, F.S., as amended, are met regarding background screening for all persons who meet the definition of a direct service provider and who are not exempt from the DOEA's level 2 background screening pursuant to Section 430.0402(2)-(3), F.S. The Sub-Recipient must also comply with any applicable rules promulgated by the AAAPP and the Agency for Health Care Administration regarding implementation of Section 430.0402 and Chapter 435, F.S. To demonstrate compliance with this provision, Sub-Recipient shall submit to the AAAPP, the Background Screening Affidavit of Compliance (Screening Form) upon thirty (30) days of execution of this contract. Should the AAAPP have a completed Screening Form on file for the Sub-Recipient, a new Screening Form will be required every twelve (12) months.

- 8.1** Further information concerning the procedures for background screening may be found at <http://elderaffairs.state.fl.us/doea/backgroundscreening.php>.

9. Grievance Procedures:

The Sub-Recipient shall develop, implement, and ensure that its Subcontractors have established grievance procedures to process and resolve client dissatisfaction with or denial of service(s), and address complaints regarding the termination, suspension or reduction of services, as required for receipt of funds. These procedures, at a minimum, will provide for notice of the grievance procedure and an opportunity for review of the Subcontractor's determination(s).

10. Public Records and Retention:

- 10.1** By execution of this contract, Sub-Recipient agrees to all provisions of Chapter 119, F.S., and any other applicable law, and shall:
- 10.1.1** Keep and maintain public records required by the AAAPP to perform the contracted services.
- 10.1.2** Upon request from the AAAPP/DOEA, provide the AAAPP/DOEA a copy of the requested records or allow the records to be inspected or copied within a reasonable time at a cost that does not exceed the cost provided in Chapter 119, F.S., or as otherwise provided by law.
- 10.1.3** Ensure that public records that are exempt, or confidential and exempt, from public records disclosure requirements are not disclosed except as authorized by law for the duration of the contract term and following completion of the contract if the Sub-Recipient does not transfer the records to the AAAPP.

10.1.4 Upon completion of the contract, the Sub-Recipient will either transfer, at no cost to the AAAPP, all public records in possession of the Sub-Recipient, or will keep and maintain public records required by the AAAPP. If the Sub-Recipient transfers all public records to the AAAPP upon completion of the contract, Sub-Recipient shall destroy any duplicate public records that are exempt, or confidential and exempt, from public records disclosure requirements. If the Sub-Recipient keeps and maintains public records upon completion of the contract, the Sub-Recipient shall meet all applicable requirements for retaining public records. All records stored electronically must be provided to the AAAPP in a format that is compatible with the information technology systems of the AAAPP.

10.2 The AAAPP may unilaterally cancel this contract, notwithstanding any other provisions of this contract, for refusal by the Sub-Recipient to comply with Section 10 of this contract by not allowing public access to all documents, papers, letters, or other material made or received by the Sub-Recipient in conjunction with this contract, unless the records are exempt, or confidential and exempt, from Section 24(a) of Article I of the State Constitution and Section 119.07(1), F.S.

IF THE SUB-RECIPIENT HAS QUESTIONS REGARDING THE APPLICATION OF CHAPTER 119, FLORIDA STATUTES, TO THE SUB-RECIPIENT’S DUTY TO PROVIDE PUBLIC RECORDS RELATING TO THIS CONTRACT, CONTACT THE CUSTODIAN OF PUBLIC RECORDS AT:

**Public Records Coordinator
Florida Department of Elder Affairs
4040 Esplanade Way
Tallahassee, Florida 32399
850-414-2352
doeapublicrecords@elderaffairs.org**

11. Audits, Inspections, Investigations:

- 11.1** The Sub-Recipient shall establish and maintain books, records and documents (including electronic storage media) sufficient to reflect all assets, obligations, unobligated balances, income, interest and expenditures of funds provided by the AAAPP under this contract. Sub-Recipient shall adequately safeguard all such assets and assure they are used solely for the purposes authorized under this contract. Whenever appropriate, financial information should be related to performance and unit cost data.
- 11.2** The Sub-Recipient shall retain and maintain all client records, financial records, supporting documents, statistical records, and any other documents (including electronic storage media) pertinent to this contract for a period of six (6) years after completion of the contract or longer when required by law. In the event an audit is required by this contract, records shall be retained for a minimum period of six (6) years after the audit report is issued or until resolution of any audit findings or litigation based on the terms of this contract, at no additional cost to the AAAPP.
- 11.3** Upon demand, at no additional cost to the AAAPP, the Sub-Recipient shall facilitate the duplication and transfer of any records or documents during the required retention period.
- 11.4** The Sub-Recipient shall assure that the records described in this section will be subject at all reasonable times to inspection, review, copying, or audit by federal, state, or other personnel duly authorized by the AAAPP.
- 11.5** At all reasonable times for as long as records are maintained, persons duly authorized by the AAAPP and federal auditors, pursuant to 45 CFR Part 75, will be allowed full access to and the right to examine any of the Sub-Recipient’s contracts and related records and documents pertinent to this specific contract, regardless of the form in which kept.
- 11.6** The Sub-Recipient shall provide a Financial and Compliance Audit Attachment to the AAAPP as specified in this contract and ensure that all related third-party transactions are disclosed to the auditor.
- 11.7** Sub-Recipient agrees to comply with the Inspector General in any investigation, audit, inspection, review, or hearing performed pursuant to Section 20.055, Florida Statutes. Sub-Recipient further agrees that it shall include in related subcontracts a requirement that subcontractors performing work or providing services pursuant to this

contract agree to cooperate with the Inspector General in any investigation, audit, inspection, review, or hearing pursuant to Section 20.055(5), F.S. By execution of this contract the Sub-Recipient understands and will comply with this subsection.

12. Nondiscrimination-Civil Rights Compliance:

- 12.1** The Sub-Recipient shall execute Assurances as stated in the Assurances-Non-Construction Programs Attachment that it will not discriminate against any person in the provision of services or benefits under this contract or in employment because of age, race, religion, color, disability, national origin, marital status or sex in compliance with state and federal law and regulations. The Sub-Recipient further assures that all Sub-Recipients, Subcontractors, Sub-grantees, or others with whom it arranges to provide services or benefits in connection with any of its programs and activities are not discriminating against clients or employees because of age, race, religion, color, disability, national origin, marital status or sex.
- 12.2** During the term of this contract, the Sub-Recipient shall complete and return to the AAAPP by January 31, 2021, an accurate Civil Rights Compliance Checklist, attached to this contract.
- 12.3** The Sub-Recipient shall establish procedures pursuant to federal law to handle complaints of discrimination involving services or benefits through this contract. These procedures will include notifying clients, employees, and participants of the right to file a complaint with the appropriate federal or state entity.
- 12.4** If this contract contains federal funds, these assurances are a condition of continued receipt of or benefit from federal financial assistance, and are binding upon the Sub-Recipient, its successors, transferees, and assignees for the period during which such assistance is provided. The Sub-Recipient further assures that all Subcontractors, Vendors, or others with whom it arranges to provide services or benefits to participants or employees in connection with any of its programs and activities are not discriminating against those participants or employees in violation of the above statutes, regulations, guidelines, and standards. In the event of failure to comply, the Sub-Recipient understands that the AAAPP may, at its discretion, seek a court order requiring compliance with the terms of this assurance or seek other appropriate judicial or administrative relief, including but not limited to, termination of and denial of further assistance.

13. Monitoring by the AAAPP:

The Sub-Recipient shall permit persons duly authorized by the AAAPP to inspect and copy any records, papers, documents, facilities, goods, and services of the Sub-Recipient which are relevant to this contract, and to interview any clients, employees, and Subcontractor employees of the Sub-Recipient to assure the AAAPP of the satisfactory performance of the terms and conditions of this contract. Following such review, the AAAPP will provide a written report of its findings to the Sub-Recipient, and where appropriate, the Sub-Recipient shall develop a Corrective Action Plan (CAP). The Sub-Recipient hereby agrees to correct all deficiencies identified in the CAP in a timely manner as determined by the AAAPP.

14. Provision of Services:

The Sub-Recipient shall provide services in the manner described in Attachment I.

15. Coordinated Monitoring with Other Agencies:

If the Sub-Recipient receives funding from one or more State of Florida human service agencies, in addition to the Department of Elder Affairs, then a joint monitoring visit including such other agencies may be scheduled. For the purposes of this contract, and pursuant to Section 287.0575, F.S. as amended, Florida's human service agencies shall include the Department of Children and Families, the Department of Health, the Agency for Persons with Disabilities, the Department of Veterans' Affairs, and the Department of Elder Affairs. Upon notification and the subsequent scheduling of such a visit by the designated agency's lead administrative coordinator, the Sub-Recipient shall comply and cooperate with all monitors, inspectors, and/or investigators.

16. Indemnification:

The Sub-Recipient shall indemnify, save, defend, and hold harmless the AAAPP/DOEA and its agents and employees from any and all claims, demands, actions, causes of action of whatever nature or character, arising out of or by reason of the execution of this contract or performance of the services provided for herein. It is understood and agreed that the Sub-Recipient is not required to indemnify the AAAPP/DOEA for claims, demands, actions or causes of action arising solely out of the negligence of the AAAPP/DOEA.

16.1 Except to the extent permitted by Section 768.28, F.S., or other Florida law, this section 16 is not applicable to contracts executed between the AAAPP and state agencies or subdivisions defined in Section 768.28(2), F.S.

17. Insurance and Bonding:

17.1 The Sub-Recipient shall provide continuous adequate liability insurance coverage during the existence of this contract and any renewal(s) and extension(s) of it. By execution of this contract, unless it is a state agency or subdivision as defined by Section 768.28(2), F.S., the Sub-Recipient accepts full responsibility for identifying and determining the type(s) and extent of liability insurance necessary to provide reasonable financial protections for the Sub-Recipient and the clients to be served under this contract. The limits of coverage under each policy maintained by the Sub-Recipient do not limit the Sub-Recipient's liability and obligations under this contract. The Sub-Recipient shall ensure that the AAAPP has the most current written verification of insurance coverage throughout the term of this contract. Such coverage may be provided by a self-insurance program established and operating under the laws of the State of Florida. The AAAPP reserves the right to require additional insurance as specified in this contract.

17.2 Throughout the term of this contract, the Sub-Recipient shall maintain an insurance bond from a responsible commercial insurance company covering all officers, directors, employees and agents of the Sub-Recipient authorized to handle funds received or disbursed under all agreements and/or contracts incorporating this contract by reference in an amount commensurate with the funds handled, the degree of risk as determined by the insurance company and consistent with good business practices.

18. Confidentiality of Information:

The Sub-Recipient shall not use or disclose any information concerning a recipient of services under this contract for any purpose prohibited by state or federal law or regulations except with the written consent of a person legally authorized to give that consent or when authorized by law.

19. Health Insurance Portability and Accountability Act:

Where applicable, the Sub-Recipient shall comply with the Health Insurance Portability and Accountability Act (42 USC 1320d.), as well as all regulations promulgated thereunder (45 CFR Parts 160, 162, and 164).

20. Incident Reporting:

20.1 The Sub-Recipient shall notify the AAAPP immediately but no later than forty-eight (48) hours from the Sub-Recipient's awareness or discovery of conditions that may materially affect the Sub-Recipients or Subcontractors ability to perform the services required to be performed under this contract. Such notice shall be made orally to the AAAPP (by telephone) with an email to immediately follow.

20.2 The Sub-Recipient shall immediately report knowledge or reasonable suspicion of abuse, neglect, or exploitation of a child, aged person, or disabled adult to the Florida Abuse Hotline on the statewide toll-free telephone number (1-800-96ABUSE). As required by Chapters 39 and 415, F.S., this provision is binding upon the Sub-Recipient, Subcontractors, and their employees.

21. New Contract(s) Reporting:

The Sub-Recipient shall notify the AAAPP within ten (10) days of entering into a new contract with any of the remaining four (4) state human service agencies. The notification shall include the following information: (1) contracting state agency and the applicable office or program issuing the contract; (2) contract name and number; (3) contract start and end dates; (4) contract amount; (5) contract description and commodity or service; (6) Contract Manager name and contact information. In complying with this provision, and pursuant to Section 287.0575, F.S., as amended, the Sub-Recipient shall complete the Sub-Recipient's State Contracts List attached to this contract.

22. Bankruptcy Notification:

During the term of this contract, the Sub-Recipient shall immediately notify the AAAPP if the Sub-Recipient, its assignees, Subcontractors or affiliates file a claim for bankruptcy. Within ten (10) days after notification, the Sub-Recipient must also provide the following information to the AAAPP: (1) the date of filing of the bankruptcy petition; (2) the case number; (3) the court name and the division in which the petition was filed (e.g., Northern District of Florida, Tallahassee Division); and (4) the name, address, and telephone number of the bankruptcy attorney.

23. Sponsorship and Publicity:

- 23.1** As required by Section 286.25, F.S., if the Sub-Recipient is a non-governmental organization which sponsors a program financed wholly or in part by state funds, including any funds obtained through this contract, it shall, in publicizing, advertising, or describing the sponsorship of the program, state: “Sponsored by (Sub-Recipient’s name) the AAAPP and the State of Florida, Department of Elder Affairs.” If the sponsorship reference is in written material, the words “Area Agency on Aging of Pasco-Pinellas, Inc.” and “State of Florida, Department of Elder Affairs” shall appear in at least the same size letters or type as the name of the organization.
- 23.2** The Sub-Recipient shall not use the words “Area Agency on Aging of Pasco-Pinellas, Inc.” and “State of Florida, Department of Elder Affairs” to indicate sponsorship of a program otherwise financed, unless specific authorization has been obtained by the AAAPP prior to use.

24. Assignments:

- 24.1** The Sub-Recipient shall not assign the rights and responsibilities under this contract without the prior written approval of the AAAPP, which shall not be unreasonably withheld. Any sublicense, assignment, or transfer otherwise occurring without prior written approval of the AAAPP will constitute a material breach of the contract.
- 24.2** The State of Florida is, at all times, entitled to assign or transfer, in whole or part, its rights, duties, or obligations under this contract to another governmental agency in the State of Florida, upon giving prior written notice to the Sub-Recipient. In the event the State of Florida approves transfer of the Sub-Recipient’s obligations, the Sub-Recipient remains responsible for all work performed and all expenses incurred in connection with this contract.
- 24.3** This contract shall remain binding upon the successors in interest of either the Sub-Recipient or the AAAPP.

25. Subcontracts:

- 25.1** The Sub-Recipient is responsible for all work performed and for all commodities produced pursuant to this contract, whether actually furnished by the Sub-Recipient or its Subcontractors. Any subcontracts shall be evidenced by a written document and subject to any conditions of approval the AAAPP deems necessary. The Sub-Recipient further agrees that the AAAPP will not be liable to the Subcontractor in any way or for any reason. The Sub-Recipient, at its expense, shall defend the AAAPP against any such claims.
- 25.2** The Sub-Recipient shall promptly pay any Subcontractors upon receipt of payment from the AAAPP or other state agency. Failure to make payments to any Subcontractor in accordance with Section 287.0585, F.S., unless otherwise stated in the contract between the Sub-Recipient and Subcontractor, will result in a penalty as provided by statute.

26. Independent Capacity of Sub-Recipient:

It is the intent and understanding of the Parties that the Sub-Recipient, and any of its Subcontractors, are independent Contractors and are not employees of the AAAPP and shall not hold themselves out as employees or agents of the AAAPP without specific authorization from the AAAPP. It is the further intent and understanding of the Parties that the AAAPP does not control the employment practices of the Sub-Recipient and will not be liable for any wage and hour, employment discrimination, or other labor and employment claims against the Sub-Recipient or its Subcontractors. All deductions for social security, withholding taxes, income taxes, contributions to unemployment compensation funds and all necessary insurance for the Sub-Recipient are the sole responsibility of the Sub-Recipient.

27. Payment:

Payments shall be made to the Sub-Recipient for all completed and approved deliverables (units of service) as defined in Attachment I. The AAAPP will have final approval of the Sub-Recipient’s invoice submitted for payment, and will approve the invoice for payment only if the Sub-Recipient has met all terms and conditions of the contract, unless the bid specifications, purchase order, or this contract specify otherwise. The approved invoice will be submitted to the AAAPP’s finance section for budgetary approval and processing. Disputes arising over invoicing and payments will be resolved in accordance with the provisions of Section 215.422, F.S. A Vendor Ombudsman has been established within the Florida Department of Financial Services and may be contacted at 800-342-2762.

28. Return of Funds:

The Sub-Recipient shall return to the AAAPP any overpayments due to unearned funds or funds disallowed and any interest attributable to such funds pursuant to the terms and conditions of this contract that were disbursed to the Sub-Recipient by the AAAPP. In the event that the Sub-Recipient or its independent auditor discovers that an overpayment has been made, the Sub-Recipient shall repay said overpayment immediately without prior notification from the AAAPP. In the event that the AAAPP first discovers an overpayment has been made, the AAAPP will notify the Sub-Recipient in writing of such findings. Should repayment not be made forthwith, the Sub-Recipient shall be charged at the lawful rate of interest on the outstanding balance pursuant to Section 55.03, F.S., after AAAPP notification or Sub-Recipient discovery.

29. Data Integrity and Safeguarding Information:

The Sub-Recipient shall ensure an appropriate level of data security for the information the Sub-Recipient is collecting or using in the performance of this contract. An appropriate level of security includes approving and tracking all Sub-Recipient employees that request system or information access and ensuring that user access has been removed from all terminated employees. The Sub-Recipient, among other requirements, must anticipate and prepare for the loss of information processing capabilities. All data and software shall be routinely backed up to ensure recovery from losses or outages of the computer system. The security over the backed-up data is to be as stringent as the protection required of the primary systems. The Sub-Recipient shall ensure all Subcontractors maintain written procedures for computer system backup and recovery. The Sub-Recipient shall complete and sign the Certification Regarding Data Integrity Compliance for Agreements, Grants, Loans, and Cooperative Agreements prior to the execution of this contract.

30. Computer Use and Social Media Policy:

The Florida Department of Elder Affairs has implemented a Social Media Policy, in addition to its Computer Use Policy, which applies to all employees, contracted employees, consultants, OPS and volunteers, including all personnel affiliated with third parties, such as, but not limited to, Sub-Recipients and subcontractors. Any entity that uses the DOE A's computer resource systems must comply with the DOE A's policy regarding social media. Social Media includes, but is not limited to blogs, podcasts, discussion forums, Wikis, RSS feeds, video sharing, social networks like MySpace, Facebook and Twitter, as well as content sharing networks such as flickr and YouTube. This policy is available on the DOE A's website at: <http://elderaffairs.state.fl.us/doea/financial.php>.

31. Conflict of Interest:

The Sub-Recipient shall establish safeguards to prohibit employees, board members, management and Subcontractors from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest or personal gain. No employee, officer or agent of the Sub-Recipient or Subcontractor shall participate in the selection, or in the award of a contract supported by state or federal funds if a conflict of interest, real or apparent, would be involved. Such a conflict would arise when: (a) the employee, officer or agent; (b) any member of his/her immediate family; (c) his or her partner; or (d) an organization which employs, or is about to employ, any of the above, has a financial or other interest in the firm selected for award. The Sub-Recipient or Subcontractor's officers, employees or agents will neither solicit nor accept gratuities, favors or anything of monetary value from Sub-Recipients, potential Sub-Recipients, or parties to Subcontracts. The Sub-Recipient's board members and management must disclose to the AAAPP any relationship which may be, or may be perceived to be, a conflict of interest within thirty (30) calendar days of an individual's original appointment or placement in that position, or if the individual is serving as an incumbent, within thirty (30) calendar days of the commencement of this contract. The Sub-Recipient's employees and Subcontractors must make the same disclosures described above to the Sub-Recipient's board of directors. Compliance with this provision will be monitored.

32. Public Entity Crime:

Pursuant to Section 287.133, F.S., a person or affiliate who has been placed on the Convicted Vendor List following a conviction for a public entity crime may not submit a bid, proposal, or reply on a contract to provide any goods or services to a public entity; may not submit a bid, proposal, or reply on a contract with a public entity for the construction or repair of a public building or public work; may not submit bids, proposals, or replies on leases of real property to a public entity; may not be awarded or perform work as a Sub-Recipient, Supplier, Subcontractor, or Consultant under

a contract with any public entity; and may not transact business with any public entity in excess of the threshold amount provided in Section 287.017, F.S., for CATEGORY TWO for a period of thirty six (36) months following the date of being placed on the Convicted Vendor List.

33. Purchasing:

- 33.1** The Sub-Recipient may purchase articles which are the subject of or are required to carry out this contract from Prison Rehabilitative Industries and Diversified Enterprises, Inc., (PRIDE) identified under Chapter 946, F.S., in the same manner and under the procedures set forth in subsections 946.515(2) and (4), F.S. For purposes of this contract, the Sub-Recipient shall be deemed to be substituted for the AAAPP insofar as dealings with PRIDE. This clause is not applicable to Subcontractors unless otherwise required by law. An abbreviated list of products/services available from PRIDE may be obtained by contacting PRIDE, 800-643-8459.
- 33.2** The Sub-Recipient may procure any recycled products or materials, which are the subject of or are required to carry out this contract, in accordance with the provisions of Section 403.7065, F.S.
- 33.3** The Sub-Recipient may purchase articles that are the subject of, or required to carry out, this contract from a nonprofit agency for the Blind or for the Severely Handicapped that is qualified pursuant to Chapter 413, F.S., in the same manner and under the same procedures set forth in Section 413.036(1) and (2), F.S. For purposes of this contract, the Sub-Recipient shall be deemed to be substituted for the AAAPP insofar as dealings with such qualified nonprofit agency are concerned. Additional information about the designated nonprofit agency and the products it offers is available at <http://www.respectofflorida.org>. This clause is not applicable to Subcontractors unless otherwise required by law.
- 33.4** The Sub-Recipient shall provide a Certified Minority Business Subcontractor Expenditure (CMBE) Report summarizing the participation of certified suppliers for the current reporting period and project to date. The CMBE Report shall include the names, addresses, and dollar amount of each certified participant, and a copy must be forwarded to the AAAPP and must accompany each invoice submitted to the AAAPP. The Office of Supplier Diversity (850-487-0915) will assist in furnishing names of qualified minorities. The AAAPP will assist with questions and answers. The CMBE Report is attached to this contract.

34. Patents, Copyrights, Royalties:

If this contract is awarded state funding and if any discovery, invention or copyrightable material is developed, produced or for which ownership was purchased in the course of or as a result of work or services performed under this contract, the Sub-Recipient shall refer the discovery, invention or material to the AAAPP to be referred to the Department of State. Any and all patent rights or copyrights accruing under this contract are hereby reserved to the State of Florida in accordance with Chapter 286, F.S. Pursuant to Section 287.0571(5)(k), as amended, the only exceptions to this provision shall be those that are clearly expressed and reasonably valued in this contract.

- 34.1** If the primary purpose of this contract is the creation of intellectual property, the State of Florida shall retain an unencumbered right to use such property, notwithstanding any agreement made pursuant to this Section 34.
- 34.2** If this contract is awarded solely federal funding, the terms and conditions are governed by 2 CFR §200.315 or 45 CFR §75.322, as applicable.
- 34.3** Notwithstanding the foregoing provisions, if the Sub-Recipient or one of its Subcontractors is a university and a member of the State University System of Florida, then Section 1004.23, F.S., shall apply, but the AAAPP shall retain a perpetual, fully-paid, nonexclusive license for its use and the use of its Sub-Recipients, Subcontractors or Assignees of any resulting patented, copyrighted or trademarked work products.

35. Emergency Preparedness and Continuity of Operations:

- 35.1** If the tasks to be performed pursuant to this contract include the physical care and control of clients, or the administration and coordination of services necessary for client health, safety or welfare, the Sub-Recipient shall, within thirty (30) calendar days of the execution of this contract, submit to the AAAPP, verification of an Emergency Preparedness Plan. In the event of an emergency, the Sub-Recipient shall notify the AAAPP of emergency provisions.

35.2 In the event a situation results in a cessation of services by a Subcontractor, the Sub-Recipient shall retain responsibility for performance under this contract and must follow procedures to ensure continuity of operations without interruption.

36. Equipment:

36.1 Equipment means: (a) tangible personal property (including information technology systems) having a useful life of more than one year and a per-unit acquisition cost which equals or exceeds the lesser of the capitalization level established by the organization for the financial statement purposes, or \$5,000.00 [for federal funds - 2 CFR §200.33 and 45 CFR §75.2, as applicable], or (b); nonexpendable, tangible personal property of a non-consumable nature with an acquisition cost of \$1,000.00 or more per unit, and expected useful life of at least one (1) year; and hardback bound books not circulated to students or the general public, with a value or cost of \$250.00 or more [for state funds].

36.2 Sub-Recipients and Subcontractors who are Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations shall have written property management standards in compliance with 2 CFR Part 200 Administrative Requirements (formerly OMB Circular A-110) that include: (a) a property list with all the elements identified in the circular; (b) a procedure for conducting a physical inventory of equipment at least once every two (2) years; (c) a control system to insure adequate safeguards to prevent loss, damage, or theft of the equipment; and (d) maintenance procedures to keep the equipment in good condition. The property records must be maintained on file and shall be provided to the AAAPP upon request. The Sub-Recipient shall promptly investigate, fully document and notify the AAAPP of any loss, damage, or theft of equipment. The Sub-Recipient shall provide the results of the investigation to the AAAPP.

36.3 The Sub-Recipient's property management standards for equipment (including replacement equipment), whether acquired in whole or in part with federal funds and federally-owned equipment shall, as a minimum, meet the following requirements and to include accurately maintained equipment records with the following information:

36.3.1 Property records must be maintained that include a description of the equipment;

36.3.2 Manufacturer's serial number, model number, federal stock number, national stock number, or other identification number;

36.3.3 Source of funding for the equipment, including the federal award identification number;

36.3.4 Whether title vests in the Sub-Recipient or the federal government;

36.3.5 Acquisition date (or date received, if the equipment was furnished by the federal government) and cost;

36.3.6 Information from which one can calculate the percentage of federal participation in the cost of the equipment (not applicable to equipment furnished by the federal government);

36.3.7 Location, use and condition of the equipment and the date the information was reported;

36.3.8 Unit acquisition cost; and

36.3.9 Ultimate disposition data, including date of disposal and sales price or the method used to determine current fair market value where a Sub-Recipient compensates the federal awarding agency for its share.

36.3.10 A physical inventory must be taken and the results reconciled with the property records at least once every two (2) years.

36.3.11 A control system must be developed to ensure adequate safeguards to prevent loss, damage, or theft of the property. Any loss, damage, or theft must be investigated.

36.3.12 Adequate maintenance procedures must be developed to keep the property in good condition.

36.3.13 If the Sub-Recipient is authorized or required to sell the equipment, proper sales procedures must be established to ensure the highest possible return.

- 36.4** Equipment purchased with federal funds with an acquisition cost over \$5,000.00 and equipment purchased with state funds with an acquisition cost over \$1,000.00 is part of the cost of carrying out the activities and functions of the grant awards and Title (ownership) will vest in the Sub-Recipient [for federal funds see 2 CFR §200.313(a) and 45 CFR §75.320(a), as applicable], subject to the conditions of 2 CFR Part 200 and/or 45 CFR Part 75. Equipment purchased under these thresholds is considered supplies and is not subject to property standards. Equipment purchased with funds identified in the budget attachments to agreements covered by this contract, or identified in the sub-agreements with Subcontractors (not included in a cost methodology), is subject to the conditions of Chapter 273, F. S. and 60A-1.017, F. A. C. and 2 CFR Part 200 and/or 45 CFR Part 75.
- 36.5** The Sub-Recipient shall not dispose of any equipment or materials provided by the AAAPP, or purchased with funds provided through this contract without first obtaining the approval of the AAAPP. When disposing of property or equipment the Sub-Recipient must submit a written request for disposition instructions to the AAAPP. The request should include a brief description of the property, purchase price, funding source, and percentage of state or federal participation, acquisition date and condition of the property. The request should also indicate the Sub-Recipient's proposed disposition (i.e., transfer or donation to another agency that administers federal programs, offer the items for sale, destroy the items, etc.).
- 36.6** The AAAPP will issue disposition instructions. If disposition instructions are not received within one hundred twenty (120) days of the written request for disposition, the Sub-Recipient is authorized to proceed as directed in 2 CFR §200.313 or 45 CFR §75.320, as applicable.
- 36.7** Real property means land (including land improvements), buildings, structures and appurtenances thereto, but excludes movable machinery and equipment. Real property may not be purchased with state or federal funds through agreements covered under this contract without the prior approval of the AAAPP. Real property purchases from Older Americans Act funds are subject to the provisions of Title 42, Chapter 35, Subchapter III, Part A., Section 3030b U.S.C. Real property purchases from state funds can only be made through fixed capital outlay grants and aids appropriations and therefore are subject to the provisions of Section 216.348, F.S.
- 36.8** Any permanent storage devices (e.g.: hard drives, removable storage media) must be reformatted and tested prior to disposal to ensure no confidential information remains.
- 36.9** The Sub-Recipient must adhere to the AAAPP's procedures and standards when purchasing Information Technology Resources (ITR) as part of any agreement(s) incorporating this contract by reference. An ITR worksheet is required for any computer related item costing \$1,000.00 or more, including data processing hardware, software, services, supplies, maintenance, training, personnel and facilities. The completed ITR worksheet shall be maintained in the LAN administrator's file and must be provided to the AAAPP upon request. The Sub-Recipient has the responsibility to require any Subcontractors to comply with the AAAPP's ITR procedures.

37. PUR 1000 Form:

The PUR 1000 Form is hereby incorporated by reference and available at:

http://www.myflorida.com/apps/vbs/adoc/F7740_PUR1000.pdf

In the event of any conflict between the PUR 1000 Form and any terms or conditions of this contract the terms or conditions of this contract shall take precedence over the PUR 1000 Form. However, if the conflicting terms or conditions in the PUR 1000 Form are required by any section of the Florida Statutes, the terms or conditions contained in the PUR 1000 Form shall take precedence.

38. Use of State Funds to Purchase or Improve Real Property:

Any state funds provided for the purchase of or improvements to real property are contingent upon the Sub-Recipient or political subdivision granting to the state a security interest in the property at least to the amount of state funds provided for at least five (5) years from the date of purchase or the completion of the improvements or as further required by law.

January 2021 – December 2021

39. Dispute Resolution:

Any dispute concerning performance of the contract shall be decided by the AAAPP, who shall reduce the decision to writing and serve a copy on the Sub-Recipient.

40. Financial Consequences:

If the Sub-Recipient fails to meet the minimum level of service or performance identified in this contract, the AAAPP will impose financial consequences as stated in Attachment I.

41. No Waiver of Sovereign Immunity:

Nothing contained in this contract is intended to serve as a waiver of sovereign immunity by any entity to which sovereign immunity may be applicable.

42. Venue:

If any dispute arises out of this contract, the venue of such legal recourse shall be Pinellas County, Florida.

43. Entire Contract:

This contract contains all the terms and conditions agreed upon by the Parties. No oral agreements or representations shall be valid or binding upon the AAAPP or the Sub-Recipient unless expressly contained herein or by a written amendment to this contract signed by both Parties.

44. Force Majeure:

The Parties will not be liable for any delays or failures in performance due to circumstances beyond their control, provided the party experiencing the force majeure condition provides immediate written notification to the other party and takes all reasonable efforts to cure the condition.

45. Severability Clause:

The Parties agree that if a court of competent jurisdiction deems any term or condition herein void or unenforceable the other provisions are severable to that void provision and shall remain in full force and effect.

46. Condition Precedent to Contract Appropriations:

The Parties agree that the AAAPP's performance and obligation to pay under this contract is contingent upon an annual appropriation by the Legislature.

47. Addition/Deletion:

The Parties agree that the AAAPP reserves the right to add or to delete any of the services required under this contract when deemed to be in the State of Florida's best interest and reduced to a written amendment signed by both Parties. The Parties shall negotiate compensation for any additional services added.

48. Waiver:

The delay or failure by the AAAPP to exercise or enforce any of its rights under this contract will not constitute or be deemed a waiver of the AAAPP's right thereafter to enforce those rights, nor will any single or partial exercise of any such right preclude any other or further exercise thereof or the exercise of any other right.

49. Compliance:

The Sub-Recipient shall abide by all applicable current federal statutes, laws, rules and regulations as well as applicable current state statutes, laws, rules and regulations. The Parties agree that failure of the Sub-Recipient to abide by these laws shall be deemed an event of default of the Sub-Recipient, and subject the contract to immediate, unilateral cancellation of the contract at the discretion of the AAAPP.

50. Final Invoice:

The Sub-Recipient shall submit the final invoice for payment to the AAAPP no later than thirty (30) days upon the contract ending date unless otherwise specified in Attachment I. If the Sub-Recipient fails to do so, all right to payment is forfeited and the AAAPP may not honor any requests submitted after the aforesaid time period. Any payment due under the terms of this contract may be withheld until all required documentation and reports due from the Sub-Recipient and necessary adjustments thereto have been approved by the AAAPP.

51. Renegotiations of Modifications:

Modifications of the provisions of this contract shall be valid only when they have been reduced to writing and duly signed by both parties. The rate of payment and the total dollar amount may be adjusted retroactively to reflect price level increases and changes in the rate of payment when these have been established through the appropriations process and subsequently identified in the AAAPP's operating budget.

52. Suspension of Work:

The AAAPP may in its sole discretion suspend any or all activities under the contract or purchase order, at any time, when in the best interests of the State to do so. The AAAPP shall provide the Sub-Recipient written notice outlining the particulars of suspension. Examples of the reason for suspension include, but are not limited to, budgetary constraints, declaration of emergency, or other such circumstances. After receiving a suspension notice, the Sub-Recipient shall comply with the notice and shall not accept any purchase orders. Within ninety (90) days, or any longer period agreed to by the Sub-Recipient, the AAAPP shall either (1) issue a notice authorizing resumption of work, at which time activity shall resume, or (2) terminate the contract or purchase order. Suspension of work shall not entitle the Sub-Recipient to any additional compensation.

53. Termination:

53.1 Termination for Convenience. The AAAPP, by written notice to the Sub-Recipient, may terminate this contract in whole or in part when the AAAPP determines in its sole discretion that it is in the State's interest to do so. The Sub-Recipient shall not furnish any product after it receives the notice of termination, except as necessary to complete the continued portion of this contract, if any. The Sub-Recipient shall not be entitled to recover any cancellation charges or lost profits.

53.2 Termination for Cause. The AAAPP may terminate this contract if the Sub-Recipient fails to (1) deliver the product within the time specified in the contract or any extension, (2) maintain adequate progress, thus endangering performance of the contract, (3) honor any term of the contract, or (4) abide by any statutory, regulatory, or licensing requirement. Rule 60A-1.006(3), F.A.C., governs the procedure and consequences of default. The Sub-Recipient shall continue work on any work not terminated. Except for defaults of Subcontractors at any tier, the Sub-Recipient shall not be liable for any excess costs if the failure to perform the contract arises from events completely beyond the control, and without the fault or negligence, of the Sub-Recipient. If the failure to perform is caused by the default of a Subcontractor at any tier, and if the cause of the default is completely beyond the control of both the Sub-Recipient and the Subcontractor, and without the fault or negligence of either, the Sub-Recipient shall not be liable for any excess costs for failure to perform, unless the subcontracted products were obtainable from other sources in sufficient time for the Sub-Recipient to meet the required delivery schedule. If, after termination, it is determined that the Sub-Recipient was not in default, or that the default was excusable, the rights and obligations of the Parties shall be the same as if the termination had been issued for the convenience of the AAAPP. The rights and remedies of the AAAPP in this clause are in addition to any other rights and remedies provided by law or under the contract.

53.3 Upon termination of this contract, the Sub-Recipient and Subcontractors shall, at no cost to the AAAPP, transfer all public records in their possession to the AAAPP and destroy any duplicate public records that are exempt, or confidential and exempt, from public records disclosure requirements. All records stored electronically shall be provided to the AAAPP in a format that is compatible with the information technology systems of the AAAPP.

54. Electronic Records and Signature:

The AAAPP authorizes, but does not require, the Sub-Recipient to create and retain electronic records and to use electronic signatures to conduct transactions necessary to carry out the terms of this contract. A Sub-Recipient that creates and retains electronic records and uses electronic signatures to conduct transactions shall comply with the requirements contained in the Uniform Electronic Transaction Act, Section 668.50, F.S. All electronic records must be fully auditable; are subject to Florida's Public Records Law, Chapter 119, F.S.; must comply with Section 29, Data Integrity and Safeguarding Information; must maintain all confidentiality, as applicable; and must be retained and maintained by the Sub-Recipient to the same extent as non-electronic records are retained and maintained as required by this contract.

- 54.1** The AAAPP's authorization pursuant to this section does not authorize electronic transactions between the Sub-Recipient and the AAAPP. The Sub-Recipient is authorized to conduct electronic transactions with the AAAPP only upon further written consent by the AAAPP.
- 54.2** Upon request by the AAAPP, the Sub-Recipient shall provide the AAAPP with non-electronic (paper) copies of records. Non-electronic (paper) copies provided to the AAAPP of any document that was originally in electronic form with an electronic signature must identify the person and the person's capacity who electronically signed the document on any non-electronic copy of the document.

REMAINDER OF THE PAGE INTENTIONALLY LEFT BLANK

55. Official Payee and Representatives (Names, Addresses, and Telephone Numbers):

a.	The Sub-Recipient name, as shown on page 1 of this contract, and mailing address of the official payee to whom the payment shall be made is:	Pasco County Board of County Commissioners 37918 Meridian Avenue Dade City, FL 33525
b.	The name of the contact person and street address where financial and administrative records are maintained is:	Nikki Alvarez-Sowles, Esq. Pasco County Clerk and Comptroller Pasco County Board of County Commissioners 38053 Live Oaks Avenue Dade City, FL 33523-3894
c.	The name, address, and telephone number of the representative of the Sub-Recipient responsible for administration of the program under this contract is:	Cathy Pearson Assistant County Administrator (Public Services) Pasco County Board of County Commissioners 8600 Galen Wilson Boulevard Port Richey, FL 34668 (727) 834-3480
d.	The section and location within the AAAPP where Requests for Payment and Receipt and Expenditure forms are to be mailed is:	Lashanna Young, Controller 9549 Koger Boulevard, Gadsden Building, Suite 100 St. Petersburg, FL 33702
e.	The name, address, and telephone number of the Contract Manager for this contract is:	Ann Marie Winter, Executive Director 9549 Koger Boulevard, Gadsden Building, Suite 100 St. Petersburg, FL 33702 727-570-9696
Upon change of representatives (names, addresses, telephone numbers) by either party, notice shall be provided in writing to the other party.		

56. All Terms and Conditions Included:

This contract and its Attachments, I – XIV including any exhibits referenced in said attachments, together with any documents incorporated by reference, contain all the terms and conditions agreed upon by the Parties. There are no provisions, terms, conditions, or obligations other than those contained herein, and this contract shall supersede all previous communications, representations or agreements, either written or verbal between the Parties.

January 2021 – December 2021

EA021-PASCO-TRANSPORTATION

By signing this contract, the Parties agree that they have read and agree to the entire contract.

IN WITNESS WHEREOF, the Parties hereto have caused this sixty-three (63) page contract to be executed by their undersigned officials as duly authorized.

SUB-RECIPIENT: PASCO COUNTY BOARD OF COUNTY COMMISSIONERS

SIGNED BY: Ronald E. Oakley

NAME: RONALD E. OAKLEY

TITLE: CHAIRMAN

DATE: MAR 09 2021

Federal Tax ID: 59-6000-793
Duns:

APPROVED
IN SESSION

PASCO COUNTY
BCC

AREA AGENCY ON AGING OF PASCO-PINELLAS, INC.

SIGNED BY: Ann Marie Winter

NAME: CAMILLE S. HERNANDEZ

TITLE: PRESIDENT

DATE: 3/12/2021

DocuSigned by:

Ann Marie Winter
9770C206452D4AA...



ATTEST BY: Nikola Alvas Sowles, Esq.
Pasco County Clerk and Comptroller

C72

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**ATTACHMENT I
STATEMENT OF WORK**

I. SERVICES TO BE PROVIDED

A. Definitions of Terms

1. Contract Acronyms

Area Agency on Aging (AAA) Activities of Daily Living (ADL)

Administration on Aging (AoA)

Administration on Community Living (ACL)

Alliance of Information & Referral Systems (AIRS)

Assessed Priority Consumer List (APCL)

Adult Protective Services (APS)

Client Information and Registration Tracking System (CIRTS)

Chronic Disease Self-Management Education (CDSME)

Chronic Disease Self-Management Program (CDSMP)

Dietary Reference Intake (DRI)

Evidence-Based Disease Prevention and Health Promotion (EBDPHP)

Florida Department of Business and Professional Regulations (DBPR)

Florida Department of Elder Affairs (DOEA)

Florida Department of Health (DOH)

Information and Referral (I&R)

Instrumental Activities of Daily Living (IADL)

National Council on Aging (NCOA)

Nutrition Services Incentive Program (NSIP)

Older Americans Act (OAA)

Planning and Service Area (PSA)

State of Florida (State)

Statewide Medicaid Managed Care Long-Term Care (SMMC LTC)

United States Department of Agriculture (USDA)

United States Department of Health and Human Services (USDHHS)

2. Program Specific Terms

Adult Child with a Disability: A child who is age 18 or older and is financially dependent on an older individual who is a parent of the child and has a disability.

Child: An individual who is not more than eighteen (18) years of age or an individual with a disability.

Criteria: A standard which the AoA/ACL set for the Title IIID Program. AoA/ACL's standard criterion consists of three tiers: Minimal Criteria, Intermediate Criteria, and Highest- Level Criteria.

Family Caregiver: An adult family member, or another individual, who is an informal provider of in-home and community care to an older individual.

Frail: When an older individual is (1) determined to be unable to perform at least two activities of daily living without substantial human assistance, including verbal reminding, physical cueing, or supervision or (2) unable to perform at least three such activities without such assistance; or, (3) due to a cognitive or other mental impairment, requires substantial supervision because the individual behaves in a manner that poses a serious health or safety hazard to the individual or to another individual.

Grandparent: A grandparent or step-grandparent of a child, or a relative of a child by blood, marriage or adoption, who: (1) lives with the child, (2) is the primary caregiver of the child because the biological or adoptive parents are unable or unwilling to serve as the primary caregiver of the child, and (3) has a legal relationship to the child, such as legal custody or guardianship, or is raising the child informally.

B. General Description

1. General Statement

The primary purpose of the OAA Program is to foster the development and implementation of comprehensive and coordinated systems to serve older individuals. These systems assist older individuals to attain and maintain maximum independence with supportive services.

2. Authority

All applicable federal laws, regulations, action transmittals, program instructions, review guides and similar documentation related to the following:

- a. Catalog of Federal Domestic Assistance Nos. 93.043, 93.044, 93.045, 93.052, and 93.053;
- b. Older Americans Act of 1965, as amended 2016;
- c. Section 311 of the Older Americans Act of 1965, as amended 2016 (42 U.S.C. § 3030a);
- d. 42 U.S.C. § 303 and § 604;
- e. Rule 58A-1, Florida Administrative Code (F.A.C.);
- f. Section 430.101, Florida Statutes (F.S.); and
- g. DOEA Programs and Services Handbook, which is hereby incorporated by reference, to include any subsequent revisions thereof.

3. Scope of Service

The Sub-Recipient is responsible for the programmatic, fiscal, and operational management of the OAA Title IIIB within its designated County. The program services shall be provided in a manner consistent with the Sub-Recipient's current approved application, as updated and hereby incorporated by reference, and the current DOEA Programs and Services Handbook.

4. Major Program Goals

The major goals of the OAA Program are to improve older individuals' quality of life, preserve their independence, and prevent or delay their need for costlier institutional care. These goals are achieved through the implementation of a comprehensive and coordinated service system that provides a continuum of service alternatives and effective delivery of nutritious meals that meet the diverse needs of elders and their caregivers.

C. Clients to be Served

1. General Description

The OAA Program gives preference to older individuals with greatest economic need and older individuals with greatest social need (with particular attention to low-income older individuals, including low-income minority older individuals, older individuals with limited English proficiency, and older individuals residing in rural areas).

2. Client Eligibility

a. OAA Title III

Consumers shall not be dually enrolled in an OAA Program and a Medicaid capitated Long-Term Care Program, except consumers in need of OAA Legal Assistance services and OAA Congregate Nutrition Services, including transportation to and from congregate meal sites.

b. OAA Title IIIB, Supportive Services

- i.** Individuals must be age sixty (60) or older; and
- ii.** I&R/Assistance services are provided to individuals regardless of age.

II. MANNER OF SERVICE PROVISION

A. Service Tasks

To achieve the goals of the OAA Program, the Sub-Recipient shall ensure performance of the following tasks:

1. Client Eligibility Determination

The Sub-Recipient shall ensure that applicant data is evaluated to determine eligibility. Eligibility to become a client is based on meeting the requirements described in Section I.C.2.

2. Targeting and Screening New Clients for Service Delivery

The Sub-Recipient shall develop and implement policies and procedures consistent with OAA targeting and screening criteria for new clients.

3. Program Services

The Sub-Recipient shall ensure the provision of program services is consistent with the Sub-Recipient's current approved application, as updated and approved by the AAAPP, and the current DOEA Programs and Services Handbook.

4. Program Eligibility Requirements

a. Eligibility Criteria

To be eligible for program participation, an entity must:

- i.** Be an agency that has received a grant under OAA Title III [OAA section 311(42 U.S.C. § 3030a)]; and
- ii.** Partner with a nutrition service provider that serves meals and is under the jurisdiction, control, management, and audit authority of the Area Agency on Aging and the DOEA.

B. Use of Subcontractors

If this contract involves the use of a subcontractor or third party, then the Sub-Recipient shall not delay the implementation of its agreement with the Subcontractor. If any circumstance occurs that may result in a delay for a period of sixty (60) days or more of the initiation of the subcontract or the performance of the Subcontractor, the Sub-Recipient shall notify the AAAPP in writing of such delay. The Sub-Recipient shall not permit a Subcontractor to perform services related to this contract without having a binding Subcontractor agreement executed. The AAAPP will not be responsible or liable for any obligations or claims resulting from such action.

1. Copies of Subcontracts

The Sub-Recipient shall submit copies of all subcontracts to the AAAPP within thirty (30) days of execution of each subcontract agreement.

2. Monitoring the Performance of Subcontractors

The Sub-Recipient shall monitor, at least once per year, each of its subcontractors, sub-recipients, vendors, and/or consultants paid from funds provided under this contract. The Sub-Recipient shall perform fiscal,

administrative, and programmatic monitoring to ensure contractual compliance, fiscal accountability, programmatic performance, and compliance with applicable state and federal laws and regulations. The Sub-Recipient shall monitor its subcontractors to ensure that the budget and scope of work are accomplished within the specified time periods, and that all performance goals stated in this contract are achieved.

- a. The Sub-Recipient shall enter all required data per the DOEA's CIRTIS Policy Guidelines for clients and services into the CIRTIS database. The data must be entered into the CIRTIS database before the Sub-Recipient submit their Request for Payment and Receipts and Expenditure Reports to the AAAPP. The Sub-Recipient shall establish time frames to ensure compliance with due dates for the Requests for Payment and Receipts Expenditure Reports to the AAAPP.
- b. The Sub-Recipient shall run monthly CIRTIS reports and to verify that the client and service data in the CIRTIS database is accurate. This report must be submitted to the AAAPP with the monthly Request for Payment and Receipts and Expenditure Report and must be reviewed by the AAAPP before the Sub-Recipient's Request for Payment and Receipts and Expenditure Reports can be approved by the AAAPP.
- c. The Sub-Recipient shall require subcontractors to report on outreach activities at least semi-annually using a uniform reporting format established by the Sub-Recipient. Subcontractor reports must include the total number of outreach events or activities performed by the subcontractor as well as the following information for each event or activity: date, location, type of event or activity, total number of participants, individual service needs identified, and referral sources or information provided to participants.

C. Staffing Requirements

1. Staffing Levels

The Sub-Recipient shall assign its own administrative and support staff as needed to perform the tasks, responsibilities, and duties under this contract and ensure that subcontractors dedicate adequate staff accordingly.

2. Professional Qualifications

The Sub-Recipient shall ensure that the staff responsible for performing any duties or functions within this contract have the qualifications specified in the DOEA Programs and Services Handbook.

3. Service Times

The Sub-Recipient shall ensure the availability of services listed in this contract at times appropriate to meet client service needs, including, at a minimum, during normal business hours. Normal business hours are defined as Monday through Friday, 8:00 a.m. to 5:00 p.m. local time.

D. Deliverables

The following section provides the specific quantifiable units of deliverables and the source documentation required to evidence the completion of the tasks specified in this contract. The Sub-Recipient must submit all required documentation in the time and manner specified for the minimum performance levels to be met. Each deliverable must be accepted in writing by the AAAPP based on the requirements for each deliverable before the Sub-Recipient submits an invoice requesting payment.

1. Delivery of Services to Eligible Clients

The Sub-Recipient shall ensure the provision of a continuum of services that meets the diverse needs of elders and their caregivers. Documentation of service delivery must include a report consisting of the following: number of clients served, number of service units provided by service, and rate per service unit with calculations that equal the total invoice amount. The Sub-Recipient shall ensure the performance and reporting of the following types of services, in accordance with the Sub-Recipient's current AAAPP- approved application, the current DOEA Programs and Services Handbook, and Section II.A.

a. Supportive Services (IIB Program)

Supportive services include a variety of community-based and home-delivered services that support older individuals' quality of life by helping them remain independent and productive. Services include the following:

- i. Transportation

2. Provision of Services

The Sub-Recipient shall ensure the provision of the services described in this contract are in accordance with the current DOEA Programs and Services Handbook, the Sub-Recipient's current AAAPP-approved Application, and the tasks described in Section II.A.

3. Sub-Recipient Administrative Responsibilities

The Sub-Recipient shall provide management and oversight of OAA Program operations in accordance with the current DOEA Programs and Services Handbook and the Sub-Recipient's current AAAPP- approved Application. Management and oversight of OAA Program Operations include the following:

- a. Develop an Application and provide annual updates to the Application.
- b. Establish written policies, procedures, criteria, and standards for purchasing and procurement of goods and services on an open and competitive basis.
- c. Review routine and special reports submitted by subcontractors and vendors.
- d. Provide technical assistance to subcontractors and vendors to ensure provision of quality services.
- e. Monitor and evaluate subcontractors and vendors for programmatic and fiscal compliance.
- f. Appropriately submit payments to subcontractors.
- g. Establish procedures for handling recipient complaints and grievances concerning such adverse actions as service termination, suspension, or reduction.

i. Complaint Procedures

The Sub-Recipient shall develop and implement complaint procedures and ensure that subcontractors develop and implement complaint procedures to process and resolve client dissatisfaction with services. Complaint procedures shall address the quality and timeliness of services, provider and direct service worker complaints, and all other issues except the termination, suspension, or reduction of services, which shall be addressed through the grievance process as described in Appendix D of the DOEA Programs and Services Handbook. Complaint procedures shall include notification to all clients of the complaint procedure and include tracking the date, nature, and disposition of each complaint.

- h. Ensure compliance with CIRTS regulations.
- i. Monitor performance objective achievements per targets set by the AAAPP.
- j. Conduct client satisfaction surveys to evaluate and improve service delivery.

E. Reports

The Sub-Recipient shall respond to additional, routine, or special requests for information and reports required by the AAAPP in a timely manner as determined by the AAAPP. The Sub-Recipient shall establish reporting deadlines and due dates for subcontractors that permit the Sub-Recipient to review and validate the data and meet the AAAPP's reporting requirements.

1. OAA Annual Volunteer Activity Report

The Sub-Recipient shall submit an OAA Annual Volunteer Activity Report to the AAAPP per the report specifications provided in Attachment XIV. The Annual Volunteer Activity Report must be completed and submitted to the AAAPP by January 8, 2021.

2. CIRTS Reports

- a. Sub-Recipient shall input OAA-specific data into CIRTS. To ensure CIRTS data accuracy, the Sub-Recipient shall use CIRTS-generated reports which include the following:
 - i. Client Reports;
 - ii. Monitoring Reports;

- iii. Services Reports;
- iv. Miscellaneous Reports;
- v. Fiscal Reports; and
- vi. Outcome Measurement Reports.

b. CIRTS Data Entries for Sub-Recipient

The Sub-Recipient must enter all required data for clients and services in the CIRTS database per the DOEA Programs and Services Handbook and the CIRTS User Manual – Aging Provider Network users (located in Documents on the CIRTS Enterprise Application Services). Sub-Recipient must enter this data into the CIRTS database prior to submitting their Requests for Payment and Receipts and Expenditure Reports to the Sub-Recipient. The Sub-Recipient shall establish deadlines for completing CIRTS data entry to ensure compliance with due dates for the Requests for Payment and Receipts and Expenditure Reports that Sub-Recipient must submit to the AAAPP.

c. Sub-Recipients' Monthly CIRTS Reports

The Sub-Recipient must run monthly CIRTS reports and verify that client and service data in the CIRTS database is accurate. This report must be submitted to the Sub-Recipient with the monthly Request for Payment and Receipts and Expenditure Reports and must be reviewed by the Sub-Recipient before the subcontractor's Request for Payment and Receipts and Expenditure Reports can be approved by the Sub-Recipient.

3. Application Update and All Revisions Thereto

The Sub-Recipient shall submit, for approval by the AAAPP, an Application Update, wherein the Sub-Recipient enters OAA - specific data in CIRTS, as required by the federal funding source.

4. Bi-Annual Service Cost Reports

The Sub-Recipient shall submit Bi-Annual Service Cost Reports which reflect actual costs of providing each service by program.

5. Surplus/Deficit Report

The Sub-Recipient shall submit a Consolidated Surplus/Deficit Report, in a format provided by the AAAPP, to the AAAPP by the 10th of each month. This Consolidated Surplus/Deficit Report is for all agreements and/or contracts between the Sub-Recipient and the AAAPP and must include the following:

- a. A list of all subcontractors and their status regarding surplus/deficit;
- b. The Sub-Recipient's detailed plan on how the surplus/deficit spending which exceeds the threshold specified by the AAAPP will be resolved;
- c. Recommendations to transfer funds to resolve surplus/deficit spending;
- d. Input from the Sub-Recipient's Board of Directors on resolution of spending issues, if applicable;

6. OAA National Aging Program Information System (NAPIS) Report

Data required for the OAA NAPIS Report that is not recorded in CIRTS will be provided to the AAAPP in the format and on the date established by the AAAPP.

7. Program Highlight Narratives

The Sub-Recipient shall submit brief written narratives to the AAAPP by August 15, 2021. The narratives shall reference specific events that have occurred since the last submission of Program Highlight narratives, including new success stories, quotes, testimonials, or human-interest vignettes. The narratives shall be written for a general audience, with no acronyms or technical terms. For all agencies or organizations that are referenced in the narratives, the Sub-Recipient shall provide a brief description of their mission or role. The active tense shall be consistently used in the narratives to identify the specific individuals or entities that performed the activities described in the narratives. The Sub-Recipient shall review and edit narratives for clarity, readability, relevance, specificity, human interest, and grammar prior to submitting them to the AAAPP.

8. Board of Directors Meeting

The Sub-Recipient must submit a copy of their Board of Directors minutes to the AAAPP within thirty (30) days following the meeting. The minutes must detail items and/or business discussed.

F. Records and Documentation

1. The Sub-Recipient agrees to make available to AAAPP staff and any party designated by the AAAPP all contract related records and documentation. The Sub-Recipient shall ensure the collection and maintenance of all program related information and documentation on any system designated by the AAAPP. Maintenance includes valid exports and backups of all data and systems according to AAAPP standards. Data must be usable and must be maintained in a format that is readable to the AAAPP.

2. CIRTS Data and Maintenance

The Sub-Recipient shall ensure monthly collection and maintenance of client and service information in CIRTS or any other system designated by the AAAPP. Maintenance includes ensuring that all data is accurate and current and performing valid exports and backups of all data and systems according to AAAPP standards. The Sub-Recipient must notify the AAAPP by email of terminated staff that have been provided a CIRTS logon so that their individual logon can be terminated.

3. Policies and Procedures for Records and Documentation

The Sub-Recipient shall maintain written policies and procedures for computer system backup and recovery and shall have the same requirement of its subcontractors. These policies and procedures shall be made available to the AAAPP upon request.

G. Performance Specifications

1. Outcomes and Outputs (Performance Measures)

At a minimum, the Sub-Recipient shall:

- a. Ensure the provision of the services described in this contract are in accordance with the DOEA Programs and Services Handbook, the Sub-Recipient's current AAAPP- approved Application, and Section II.A..
- b. Timely and accurately submit to the AAAPP all documentation and reports described in Attachment I, Section II.E.
- c. Timely and accurately, per Attachment X, submit to the AAAPP Attachments XI and XII, Exhibits 1 – 2 .
- d. Develop and document strategies in the Application Update to support the AAAPP's standard of performance achievement, including increases in the following:
 - i. Percentage of most frail elders who remain at home or in the community instead of going into a nursing home;
 - ii. Percentage of APS referrals who need immediate services to prevent further harm who are served within seventy-two (72) hours;
 - iii. Percentage of active clients eating two or more meals per day;
 - iv. Percentage of new service recipients whose ADL assessment score has been maintained or improved;
 - v. Percentage of new service recipients whose IADL assessment score has been maintained or improved;
 - vi. Percentage of caregivers who, after service intervention, self-report being very confident about their ability to continue to provide care; and
 - vii. Percentage of customers who are at imminent risk of nursing home placement who are served with community-based services.

2. **The Sub-Recipient's performance of the measures in F.1, above, will be reviewed and documented in the AAAPP's Annual Programmatic Monitoring Reports.**

3. Monitoring and Evaluation Methodology

The AAAPP will review and evaluate the performance of the Sub-Recipient under the terms of this contract. Monitoring shall be conducted through direct contact with the Sub-Recipient via telephone, in writing, or an on-site visit. The primary, secondary, or signatory of the contract must be available for any on-site

programmatic monitoring visit. The AAAPP reserves the right to conduct an on-site visit unannounced by persons duly authorized by the AAAPP. The AAAPP's determination of acceptable performance shall be conclusive. The Sub-Recipient agrees to cooperate with the AAAPP in monitoring the progress of completion of the service tasks and deliverables. The AAAPP may use, but is not limited to, one or more of the following methods for monitoring:

- a. Desk reviews and analytical reviews;
- b. Scheduled, unscheduled, and follow-up on-site visits;
- c. Client visits;
- d. Review of independent auditor's reports;
- e. Review of third-party documents and/or evaluation;
- f. Review of progress reports;
- g. Review of customer satisfaction surveys;
- h. Agreed-upon procedures review by an external auditor or consultant;
- i. Limited-scope reviews; and
- j. Other procedures as deemed necessary by the AAAPP.

4. Performance Definitions

"Work day" shall mean a provider's staff member's eight (8) hour work period, unless specifically noted otherwise. "Day" shall mean a calendar day, unless specifically noted otherwise.

H. Sub-Recipient Responsibilities

1. Sub-Recipient Unique Activities

All tasks listed above in Section II. are solely and exclusively the responsibility of the Sub-Recipient and are tasks for which, by execution of this contract, the Sub-Recipient agrees to be held accountable.

2. Coordination with Other Providers and/or Entities

Notwithstanding that services for which the Sub-Recipient is held accountable involve coordination with other entities in performing the requirements of this contract, the failure of other providers or entities does not alleviate the Sub-Recipient from any accountability for tasks or services that the Sub-Recipient is obligated to perform pursuant to this contract.

I. AAAPP Responsibilities

1. AAAPP Obligations

The AAAPP may, within its resources, provide technical support and/or assistance to the Sub-Recipient to assist the Sub-Recipient in meeting the requirements of this contract. The AAAPP's support and assistance, or lack thereof, shall not relieve the Sub-Recipient from full performance of contract requirements.

2. AAAPP Determinations

The AAAPP reserves the exclusive right to make certain determinations in the tasks performed by the Sub-Recipient and the approaches used by the Sub-Recipient to perform those tasks. The absence of the AAAPP setting forth a specific reservation of rights does not mean that all other areas of the contract are subject to mutual agreement.

III. METHOD OF PAYMENT

A. Payment Method Used

The method of payment for this contract is a combination of Fixed-Fee/Unit Rate, and Advance Payments subject to the availability of funds and Sub-Recipient performance. The AAAPP will pay the Sub-Recipient upon satisfactory completion of the Tasks/Deliverables, as specified in Section II.D., and in accordance with all other terms and conditions of this contract.

B. Unit of Service

1. Fixed Fee/Unit Rate

Sub-Recipient must meet the minimum level of performance stated in the contract to receive payment. Payments for Fixed Fee/Unit Rates shall not exceed amounts established in Attachment XIII.

C. Advance Payments

The Sub-Recipient may request up to two (2) months of advances at the start of the contract period to cover program administration and service costs. The payment of an advance will be contingent upon the sufficiency and amount of funds released to the AAAPP by the State of Florida (budget release). The Sub-Recipient's requests for advance payments require the written approval of the AAAPP. The Sub-Recipient shall provide the AAAPP documentation justifying the need for an advance and describing how the funds will be distributed. Documentation should reflect the cash needs of the Sub-Recipient within the initial two (2) months and should be supported through a cash-flow analysis or other information appropriate to demonstrate the Sub-Recipient's financial need for the advance. If sufficient budget is available, and the AAAPP, in his or her sole discretion, has determined that there is a justified need for an advance, the AAAPP will issue approved advance payments after January 1st of the contract year.

1. All advance payments made to the Sub-Recipient shall be reimbursed to the AAAPP as follows: one-tenth of the advance payment received shall be reported as an advance recoupment on each Request for Payment, starting with report number 5 (the third monthly Request for Payment submission), in accordance with the Invoice Schedule (Attachment X), equally dividing the payments between the remaining number of Requests for Payment invoices due for the contract year. All advances should be recorded in Part C,1 of the report (attachment XI), and shall be fully recouped by the end of the contract year or when funds are fully expended, whichever is first.
2. Interest earned on advances must be identified separately by source of funds (state or federal). Sub-Recipients shall maintain advanced payments of federal funds in FDIC interest bearing accounts unless an exception is made in accordance with 45 CFR § 75.305. Earned interest must be returned to the AAAPP at the end of each quarter of the contract period.

D. Invoice Instructions

Payment shall be made upon the Sub-Recipient's presentation of an invoice subsequent to the acceptance by the AAAPP of the deliverables shown on the invoice. The form and substance of each invoice submitted by the Sub-Recipient shall be as follows:

1. The invoice shall include a "Remit to" address that corresponds exactly with Section 55.a **Official Payee and Representatives (Names, Addresses, and Telephone Numbers) of the contract**.
2. The monthly invoice shall include the units of services established in the Sub-Recipient's AAAPP- approved *A p p l i c a t i o n*, per the requirements in the DOEA Programs and Services Handbook, at the rates established in Attachment XIII Service Rate Report. Documentation of service delivery shall include a report consisting of the following: number of clients served, number of service units provided by service, and rate per service unit, with calculations that equal the total invoice amount. Any change to the Sub-Recipient's AAAPP- approved budget after the execution of this contract shall require approval from the AAAPP prior to being made. Any change to the total contract amount requires a contract amendment.
3. The Sub-Recipient shall consolidate requests for payment from subcontractors and receipts and expenditure reports that support the requests for payment and shall submit to the AAAPP Requests for Payment (Attachment XI), Receipt and Expenditure Reports (Attachment XII).
4. The Sub-Recipient shall include with the Fixed Fee/Unit Rate portion of its invoice the units of services provided based on rates established in Attachment XIII, number of clients, and the rates for the services provided per the requirements described in the deliverables and service tasks. The Sub-Recipient shall clearly state the provider name, the report month, and the invoice number on the invoice.
5. All Requests for Payment shall be based on the submission of actual monthly Receipts and Expenditure Reports beginning with the first month of the contract. The schedule for submission of advanced payment requests (when available) and invoices can be found in the Invoice Schedule (Attachment X).

6. The Certified Minority Business Subcontractor Expenditures Report (Attachment VIII) is considered a deliverable and must be completed in its entirety and submitted with each invoice.
7. Final contract invoices must be submitted to the AAAPP no later than February 15, 2021.

E. Payment Withholding

Any payment due by the AAAPP under the terms of this contract may be withheld pending receipt and approval by the AAAPP of all financial and programmatic reports due from the Sub-Recipient and any adjustments thereto, including any disallowance not resolved.

F. Corrective Action Plan

1. Sub-Recipient shall ensure 100% of the deliverables identified in Section II.D. are performed pursuant to contract requirements.
2. If at any time the Sub-Recipient is notified by the AAAPP that it has failed to correctly, completely, or adequately perform contract deliverables identified in Section II.D., the Contractor will have ten (10) days to submit a Corrective Action Plan (CAP) to the AAAPP that addresses the deficiencies and states how the deficiencies will be remedied within a time period approved by the AAAPP. The AAAPP shall assess a financial consequence for non-compliance on the Contractor as referenced in Section III.G. for each deficiency identified in the CAP which is not corrected pursuant to the CAP. The AAAPP will also assess a financial consequence for failure to timely submit a CAP to the AAAPP.
3. If the Sub-Recipient fails to correct an identified deficiency within the AAAPP- approved period specified in the CAP, the AAAPP shall deduct the percentage established in Section III.G. from the payment for the invoice of the following month.
4. If the Sub-Recipient fails to timely submit a CAP to the AAAPP, the AAAPP shall deduct the percentage established in Section III.G. for each day the CAP is overdue. The deduction will be made from the payment for the invoice of the following month.

G. Financial Consequences

The AAAPP will withhold or reduce payment if the Sub-Recipient fails to perform the deliverables to the satisfaction of the AAAPP according to the requirements referenced in Section II.D. The following financial consequences will be imposed if the deliverables stated do not meet in part or in whole the performance criteria as outlined in Section II.D.t:

1. Delivery of services to eligible clients as referenced in Section II.A.2. and Section II.D.1. of this contract – Failure to comply with established assessment and prioritization criteria, as evidenced by CIRT reports, will result in a 2% reduction of payment per business day. The reduction of payment will begin on the first business day following the AAAPP's notification to the Sub-Recipient that the identified deficiency was not cured or satisfactorily addressed in accordance with the AAAPP- approved CAP.
2. Services and units of services as referenced in Section II.D.2. of this contract – Failure to provide services in accordance with the current DOEA Programs and Services Handbook, the service tasks described in Section II.A., and Attachment XIII, or failure to submit required documentation will result in a 2% reduction of payment per business day. The reduction of payment will begin the first business day following the AAAPP's notification to the Sub-Recipient that the identified deficiency is not cured or satisfactorily addressed in accordance with the AAAPP- approved CAP.
3. Administrative duties as referenced in Section II.D.3. of this contract – Failure to perform management and oversight of program operations will result in a 2% reduction of payment per business day. The reduction of payment will begin the first business day following the AAAPP's notification to the Sub-Recipient that the identified deficiency was not cured or satisfactorily addressed in accordance with the AAAPP approved CAP.
4. Timely submission of a CAP – Failure to timely submit a CAP within ten (10) business days after notification of a deficiency by the AAAPP will result in a 2% reduction of payment per business day the CAP is not received. The reduction of payment will begin with the subsequent invoice received from the Sub-Recipient and shall be prorated for each day the CAP was late following the due date specified by the AAAPP and shall remain in effect until the Sub-Recipient complies with the submission requirement.

5. Exceptions may be granted solely, in writing, by the AAAPP.

IV. SPECIAL PROVISIONS

A. Final Budget and Funding Revision Requests

Final requests for budget revisions or adjustments to contract funds based on expenditures for provided services must be submitted to the AAAPP in writing no later than December 31, 2021; email requests are considered acceptable.

B. Sub-Recipient's Financial Obligations

1. Matching, Level of Effort, and Earmarking Requirements

The Sub-Recipient shall match at least ten percent (10%) of the federal funds received. The Sub-Recipient's match will be made in the form of cash and/or in-kind resources. The Sub-Recipient will assure, through a provision in subcontracts, a match requirement of at least ten percent (10%) of the cost for services funded through this contract. The subcontractor's match will be made in the form of cash and/or in-kind resources. The Sub-Recipient shall report match funds by title each month. At the end of the contract period, the Sub-Recipient must properly match OAA funds that require a match.

2. Consumer Contributions

Consumer contributions are to be used under the following terms:

- a. The Sub-Recipient assures compliance with Section 315 of the OAA, as amended in 2006, regarding consumer contributions;
- b. Voluntary contributions are not to be used for cost sharing or matching;
- c. Voluntary contributions are to be used only to expand services; and
- d. Accumulated voluntary contributions are to be used prior to requesting federal reimbursement.

3. Use of Service Dollars and Management of the Assessed Priority Consumer List

The Sub-Recipient is expected to spend all federal, state, and other funds provided by the AAAPP for the purpose specified in this contract. For each program managed by the Sub-Recipient, the Sub-Recipient must manage the service dollars in such a manner as to avoid having a wait list and a surplus of funds at the end of the contract period. If the AAAPP determines that the Sub-Recipient is not spending service funds accordingly, the AAAPP may transfer funds to other AAAPP during the contract period and/or adjust subsequent funding allocations as allowable under state and federal law.

4. Title III Funds

The Sub-Recipient assures compliance with Section 306 of the OAA, as amended in 2006, and will not use funds received under Title III to pay any part of a cost (including an administrative cost) incurred by the Sub-Recipient to maintain a contractual or commercial relationship that is not carried out to implement Title III.

C. Remedies for Nonconforming Services

1. The Sub-Recipient shall ensure that all goods and/or services provided under this contract are delivered timely, completely, and commensurate with required standards of quality. Such goods and/or services will only be delivered to eligible program participants.
2. If the Sub-Recipient fails to meet the prescribed quality standards for services, such services will not be reimbursed under this contract. In addition, any nonconforming goods (including home delivered meals) and/or services not meeting such standards will not be reimbursed under this contract. The Sub-Recipient's signature on the Request for Payment Form certifies maintenance of supporting documentation and acknowledgement that the Sub-Recipient shall solely bear the costs associated with preparing or providing nonconforming goods and/or services. The AAAPP requires immediate notice of any significant and/or systemic infractions that compromise the quality, security, or continuity of services to clients.

D. Incident Reporting

The Sub-Recipient shall notify the AAAPP immediately but no later than forty-eight (48) hours from the Sub-Recipients awareness or discovery of changes that may materially affect the Sub-Recipient or any subcontractor's

ability to perform the services required to be performed under this contract. Such notice shall be made orally to the AAAPP (by telephone) with an email to immediately follow, including the Sub-Recipient's plan for provision of services required by contract. This plan must detail the Sub-Recipient's anticipated plan for ensuring a continuity in services for each client impacted by and for the duration of the incident. Following submission of the plan, the Sub-Recipient's is expected to provide the AAAPP updates in a frequency determined by the AAAPP as appropriate until the incident is resolved.

E. Volunteers

The Sub-Recipient shall ensure the use of trained volunteers in providing direct care services delivered to older individuals and those individuals with disabilities needing such services. If possible, the Sub-Recipient shall work in coordination with organizations that have experience in providing training, placement, and stipends for volunteers or participants (such as the Senior Community Service Employment Program or organizations carrying out federal service programs administered by the Corporation for National and Community Service).

F. Enforcement

1. In accordance with Section 430.04, F.S., the AAAPP shall rescind designation of an area agency on aging or take intermediate measures against the Sub-Recipient, including corrective action, unannounced special monitoring, temporary assumption of operation of one or more programs by the AAAPP, placement on probationary status, imposing a moratorium on Sub-Recipient action, imposing financial penalties for nonperformance, or other administrative action pursuant to Chapter 120, F.S., if the AAAPP finds that any of the following have occurred:
 - a. An intentional or negligent act of the Sub-Recipient has materially affected the health, welfare, or safety of clients, or substantially and negatively affected the operation of an aging services program.
 - b. The Sub-Recipient lacks financial stability sufficient to meet contractual obligations or that contractual funds have been misappropriated.
 - c. The Sub-Recipient has committed multiple or repeated violations of legal and regulatory requirements or AAAPP standards.
 - d. The Sub-Recipient has failed to continue the provision or expansion of services after the declaration of a state of emergency.
 - e. The Sub-Recipient has exceeded its authority or otherwise failed to adhere to the terms of this contract with the AAAPP or has exceeded its authority or otherwise failed to adhere to the provisions specifically provided by statute or rule adopted by the AAAPP.
 - f. The Sub-Recipient has failed to properly determine client eligibility as defined by the AAAPP or efficiently manage program budgets.
 - g. The Sub-Recipient has failed to implement and maintain a AAAPP- approved client grievance resolution procedure.
2. In making any determination under this provision the AAAPP may rely upon findings of another state or federal agency, or other regulatory body. Any claims for damages for breach of contract are exempt from administrative proceedings and shall be brought before the appropriate entity in the venue of Pinellas County, Florida. In the event the AAAPP initiates action to rescind an area agency on aging designation, the AAAPP shall follow the procedures set forth in 42 U.S.C. §3025(b).

G. Investigation of Criminal Allegations

Any report that implies criminal intent on the part of the Sub-Recipient or any subcontractors and referred to a governmental or investigatory agency must be sent to the AAAPP. If the Sub-Recipient has reason to believe that the allegations will be referred to the State Attorney, a law enforcement agency, the United States Attorney's office, or governmental agency, the Sub-Recipient shall notify the AAAPP immediately. A copy of all documents, reports, notes, or other written material concerning the investigation, whether in the possession of the Sub-Recipient or subcontractors, must be sent to the AAAPP with a summary of the investigation and allegations.

END OF ATTACHMENT I

ATTACHMENT II

FINANCIAL AND COMPLIANCE AUDIT

The administration of resources awarded by the AAAPP to the Sub-Recipient may be subject to audits and/or monitoring by the Florida Department of Elder Affairs, as described in this section.

MONITORING

In addition to reviews of audits conducted in accordance with 2 CFR Part 200 (formerly OMB Circular A-133 as revised), and Section 215.97, F.S., (see “AUDITS” below), monitoring procedures may include, but not be limited to, on-site visits by the AAAPP staff, limited scope audits as defined by OMB Circular A-133, as revised, and/or other procedures. By entering into this contract, the Sub-Recipient agrees to comply and cooperate with any monitoring procedures/processes deemed appropriate by the AAAPP. In the event the AAAPP determines that a limited scope audit of the Sub-Recipient is appropriate, the Sub-Recipient agrees to comply with any additional instructions provided by the AAAPP to the Sub-Recipient regarding such audit. The Sub-Recipient further agrees to comply and cooperate with any inspections, reviews, investigations, or audits deemed necessary by the Chief Financial Officer (CFO) or Auditor General.

AUDITS

PART I: FEDERALLY FUNDED

This part is applicable if the Sub-Recipient is a State or local government or a non-profit organization as defined in 2 CFR Part 200, Subpart A.

In the event that the Sub-Recipient expends \$750,000.00 or more in federal awards during its fiscal year, the Sub-Recipient must have a single or program-specific audit conducted in accordance with the provisions of 2 CFR Part 200. Financial Compliance Audit Attachment, Exhibit 2 indicates federal resources awarded through the AAAPP by this contract. In determining the federal awards expended in its fiscal year, the Sub-Recipient shall consider all sources of Federal awards, including federal resources received from the AAAPP. The determination of amounts of Federal awards expended should be in accordance with 2 CFR Part 200. An audit of the Sub-Recipient conducted by the Auditor General in accordance with the provisions of 2 CFR Part 200 will meet the requirements of this part.

In connection with the audit requirements addressed in Part I, paragraph 1, the Sub-Recipient shall fulfill the requirements relative to auditee responsibilities as provided in 2 CFR §200.508.

If the Sub-Recipient expends less than \$750,000.00 in federal awards in its fiscal year, an audit conducted in accordance with the provisions of 2 CFR Part 200 is not required. In the event that the Sub-Recipient expends less than \$750,000.00 in federal awards in its fiscal year and elects to have an audit conducted in accordance with the provisions of 2 CFR Part 200 the cost of the audit must be paid from non-federal resources (i.e., the cost of such audit must be paid from Sub-Recipient resources obtained from other than federal entities.)

An audit conducted in accordance with this part shall cover the entire organization for the organization’s fiscal year. Compliance findings related to contracts with the AAAPP shall be based on the contract’s requirements, including any rules, regulations, or statutes referenced in the contract. The financial statements shall disclose whether or not the matching requirement was met for each applicable contract. All questioned costs and liabilities due to the AAAPP shall be fully disclosed in the audit report with reference to the Florida Department of Elder Affairs contract involved. If not otherwise disclosed as required by 2 CFR §200.510 the schedule of expenditures of federal awards shall identify expenditures by contract number for each contract with the AAAPP in effect during the audit period. Financial reporting packages required under this part must be submitted within the earlier of 30 days after receipt of the audit report or 9 months after the end of the Sub-Recipient’s fiscal year end.

PART II: STATE FUNDED

This part is applicable if the Sub-Recipient is a non-state entity as defined by Section 215.97(2), F.S.

In the event that the Sub-Recipient expends a total amount of state financial assistance equal to or in excess of \$750,000.00 in any fiscal year of such Sub-Recipient, the Sub-Recipient must have a State single or project-specific audit for such fiscal year in accordance with Section 215.97, F.S.; applicable rules of the Department of Financial Services; and Chapters 10.550 (local governmental entities) or 10.650 (nonprofit and for-profit organizations), Rules of the Auditor General. Financial Compliance Audit Attachment, Exhibit 2 indicates state financial assistance awarded through the AAAPP by this contract. In determining the state financial assistance expended in its fiscal year, the Sub-Recipient shall consider all sources of state financial assistance, including state financial assistance received from the AAAPP, other state agencies, and other non-state entities. State financial assistance does not include Federal direct or pass-through awards and resources received by a non-state entity for Federal program matching requirements.

In connection with the audit requirements addressed in Part II, paragraph 1, the Sub-Recipient shall ensure that the audit complies with the requirements of Section 215.97(8), F.S. This includes submission of a financial reporting package as defined by Section 215.97(2), F.S., and Chapter 10.550 (local governmental entities) or 10.650 (nonprofit and for-profit organizations), Rules of the Auditor General.

If the Sub-Recipient expends less than \$750,000.00 in state financial assistance in its fiscal year, an audit conducted in accordance with the provisions of Section 215.97, F.S., is not required. In the event that the Sub-Recipient expends less than \$750,000.00 in state financial assistance in its fiscal year and elects to have an audit conducted in accordance with the provisions of Section 215.97, F.S., the cost of the audit must be paid from the non-state entity's resources (i.e., the cost of such an audit must be paid from the Sub-Recipient resources obtained from other than State entities).

An audit conducted in accordance with this part shall cover the entire organization for the organization's fiscal year. Compliance findings related to contracts with the AAAPP shall be based on the contract's requirements, including any applicable rules, regulations, or statutes. The financial statements shall disclose whether or not the matching requirement was met for each applicable contract. All questioned costs and liabilities due to the AAAPP shall be fully disclosed in the audit report with reference to the AAAPP contract involved. If not otherwise disclosed as required by Rule 69I- 5.003, F.A.C., the schedule of expenditures of state financial assistance shall identify expenditures by contract number for each contract with the AAAPP in effect during the audit period. Financial reporting packages required under this part must be submitted within 45 days after delivery of the audit report, but no later than 12 months after the Sub-Recipient's fiscal year end for local governmental entities. Non-profit or for-profit organizations are required to be submitted within 45 days after delivery of the audit report, but no later than 9 months after the Sub-Recipient's fiscal year end. Notwithstanding the applicability of this portion, the AAAPP retains all right and obligation to monitor and oversee the performance of this contract as outlined throughout this document and pursuant to law.

PART III: REPORT SUBMISSION

Copies of reporting packages for audits conducted in accordance with 2 CFR Part 200 and required by Part I of this Financial Compliance Audit Attachment, shall be submitted, when required by 2 CFR §200.512 by or on behalf of the Sub-Recipient directly to each of the following:

The Area Agency on Aging of Pasco-Pinellas, Inc. at the following address:

**Ann Marie Winter, Executive Director
Area Agency on Aging of Pasco-Pinellas, Inc.
9549 Koger Blvd., Gadsden Building, Suite 100
St. Petersburg, Florida 33702**

For fiscal year 2013 and earlier to the Federal Audit Clearinghouse designated in 2 CFR §200.36 at the following address:

**Federal Audit Clearinghouse
Bureau of the Census
1201 East 10th Street
Jeffersonville, IN 47132**

For fiscal year 2014 and later, pursuant to 2 CFR §200.512, the reporting package and the data collection form must be submitted electronically to the Federal Audit Clearinghouse.

Pursuant to 2 CFR §200.512, all other Federal agencies, pass-through entities and others interested in a reporting package and data collection form must obtain it by accessing the Federal Audit Clearinghouse.

The Sub-Recipient shall submit a copy of any management letter issued by the auditor, to the Area Agency on Aging of Pasco-Pinellas, Inc. at the following address:

**Ann Marie Winter, Executive Director
Area Agency on Aging of Pasco-Pinellas, Inc.
9549 Koger Blvd., Gadsden Building, Suite 100
St. Petersburg, Florida 33702**

Additionally, copies of financial reporting packages required by this contract's Financial Compliance Audit Attachment, Part II shall be submitted by or on behalf of the Sub-Recipient directly to each of the following:

The Area Agency on Aging of Pasco-Pinellas, Inc. at the following address:

**Ann Marie Winter, Executive Director
Area Agency on Aging of Pasco-Pinellas, Inc.
9549 Koger Blvd., Gadsden Building, Suite 100
St. Petersburg, Florida 33702**

The Auditor General's Office at the following address:

**State of Florida Auditor General
Claude Pepper Building, Room 574
111 West Madison Street
Tallahassee, Florida 32399-1450**

Any reports, management letter, or other information required to be submitted to the AAAPP pursuant to this contract shall be submitted timely in accordance with 2 CFR Part 200, Florida Statutes, and Chapters 10.550 (local governmental entities) or 10.650 (nonprofit and for-profit organizations), Rules of the Auditor General, as applicable.

Sub-Recipients, when submitting financial reporting packages to the AAAPP for audits done in accordance with 2 CFR Part 200 or Chapters 10.550 (local governmental entities) or 10.650 (nonprofit and for-profit organizations), Rules of the Auditor General, should indicate the date that the reporting package was delivered to the Sub-Recipient in correspondence accompanying the reporting package.

PART IV: RECORD RETENTION

The Sub-Recipient shall retain sufficient records demonstrating its compliance with the terms of this contract for a period of six (6) years from the date the audit report is issued, and shall allow the AAAPP or its designee, the CFO or Auditor General access to such records upon request. The Sub-Recipient shall ensure that audit working papers are made available to the AAAPP or its designee, CFO, or Auditor General upon request for a period of six (6) years from the date the audit report is issued, unless extended in writing by the AAAPP.

ATTACHMENT II-EXHIBIT 1

PART I: AUDIT RELATIONSHIP DETERMINATION

Sub-Recipients who receive state or federal resources may or may not be subject to the audit requirements of 2 CFR Part 200 and/or Section 215.97, F.S. Sub-Recipients who are determined to be recipients or sub-recipients of federal awards and/or state financial assistance may be subject to the audit requirements if the audit threshold requirements set forth in Part I and/or Part II of Exhibit 1 are met. Sub-Recipients who have been determined to be vendors are not subject to the audit requirements of 2 CFR §200.38, and/or Section 215.97, F.S. Regardless of whether the audit requirements are met, Sub-Recipients who have been determined to be recipients or sub-recipients of Federal awards and/or state financial assistance must comply with applicable programmatic and fiscal compliance requirements.

In accordance with 2 CFR Part 200 and/or Rule 69I-5.006, F.A.C., Sub-Recipient has been determined to be:

Vendor not subject to 2 CFR §200.38 and/or Section 215.97, F.S.

Recipient/sub-recipient subject to 2 CFR §200.86 and §200.93 and/or Section 215.97, F.S.

Exempt organization not subject to 2 CFR Part 200 and/or Section 215.97, F.S. For Federal awards, for-profit organizations are exempt; for state financial assistance projects, public universities, community colleges, district school boards, branches of state (Florida) government, and charter schools are exempt. Exempt organizations must comply with all compliance requirements set forth within the contract or award document.

NOTE: If a Sub-Recipient is determined to be a recipient/sub-recipient of federal and or state financial assistance and has been approved by the AAAPP to subcontract, they must comply with Section 215.97(7), F.S., and Rule 69I-5.006, F.A.C. [state financial assistance] and 2 CFR §200.330[federal awards].

PART II: FISCAL COMPLIANCE REQUIREMENTS

FEDERAL AWARDS OR STATE MATCHING FUNDS ON FEDERAL AWARDS. Sub-Recipients who receive Federal awards, state maintenance of effort funds, or state matching funds on Federal awards and who are determined to be a sub-recipient must comply with the following fiscal laws, rules and regulations:

STATES, LOCAL GOVERNMENTS AND INDIAN TRIBES MUST FOLLOW:

- 2 CFR §200.416 - §200.417 – Special Considerations for States, Local Governments and Indian Tribes*
- 2 CFR §200.201 – Administrative Requirements**
- 2 CFR §200 Subpart F – Audit Requirements
- Reference Guide for State Expenditures
- Other fiscal requirements set forth in program laws, rules and regulations

NON-PROFIT ORGANIZATIONS MUST FOLLOW:

- 2 CFR §200.400 - §200.411 – Cost Principles*
- 2 CFR §200.100 – Administrative Requirements
- 2 CFR §200 Subpart F – Audit Requirements
- Reference Guide for State Expenditures
- Other fiscal requirements set forth in program laws, rules and regulations

EDUCATIONAL INSTITUTIONS (EVEN IF A PART OF A STATE OR LOCAL GOVERNMENT) MUST FOLLOW:

- 2 CFR §200.418 – §200.419 – Special Considerations for Institutions of Higher Education*
- 2 CFR §200.100 – Administrative Requirements
- 2 CFR §200 Subpart F – Audit Requirements
- Reference Guide for State Expenditures
- Other fiscal requirements set forth in program laws, rules and regulations

*Some Federal programs may be exempted from compliance with the Cost Principles Circulars as noted in 2 CFR §200.400(5) (c).

**For funding passed through U.S. Health and Human Services, 45 CFR Part 75; for funding passed through U.S. Department of Education, 34 CFR Part 80.

STATE FINANCIAL ASSISTANCE. Sub-Recipients who receive state financial assistance and who are determined to be a recipient/sub-recipient must comply with the following fiscal laws, rules and regulations:

Sections 215.97 & 215.971, F.S.

Chapter 69I-5, F.A.C.

State Projects Compliance Supplement

Reference Guide for State Expenditures

Other fiscal requirements set forth in program laws, rules and regulations

ATTACHMENT II-EXHIBIT 2

FUNDING SUMMARY

Note: Title 2 CFR, as revised, and Section 215.97, F.S. require that the information about Federal Programs and State Projects included in Attachment II, Exhibit 1 be provided to the recipient. Information contained herein is a prediction of funding sources and related amounts based on the contract budget.

1. FEDERAL RESOURCES AWARDED TO THE SUBRECIPIENT PURSUANT TO THIS CONTRACT CONSIST OF THE FOLLOWING:

GRANT AWARD (FAIN#): 2101FLOASS, 2101FLOACM, 2101FLOAHD, 2101FLOAPH, 2101FLOAFC, 2101FLOANS			FEDERAL AWARD DATE: 10/22/2020
PROGRAM TITLE	FUNDING SOURCE	CFDA	AMOUNT
Older Americans Act Title III B – Support Services	U.S. Health and Human Services	93.044	\$142,518.00
TOTAL FEDERAL AWARD			\$142,518.00

COMPLIANCE REQUIREMENTS APPLICABLE TO THE FEDERAL RESOURCES AWARDED PURSUANT TO THIS CONTRACT ARE AS FOLLOWS:

FEDERAL FUNDS:

2 CFR Part 200 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.
OMB Circular A-133 – Audits of States, Local Governments, and Non-Profit Organizations

**ATTACHMENT II
EXHIBIT 3**

Instructions to Auditors for the Statement of Functional Expenses as Required by DOEA:

INTRODUCTION

The Auditor General's Report No. 02-047 revealed that the functional expense statements contained in the audit reports of the recipients and sub-recipients of the Department of Elder Affairs (Department) funds varied widely both in presentation and in allocation methodology. As a result, the Auditor General's report recommended that a functional expense statement template be designed and utilized to provide for more uniform consistency and comparability between organizations as well as comparability between actual, audited historical costs and the contracted rates. These instructions are to help guide auditors when preparing the functional expense statement in the form prescribed by contract by the Department of Elder Affairs (see contract between recipient/sub-recipient and the Department of Elder Affairs).

In accordance with Statement of Financial Accounting Standards No. 117, *voluntary health and welfare organizations* are required to provide a statement of functional expenses in their general-purpose external financial statements. Most of the organizations receiving funds directly or indirectly from the Department are considered voluntary health and welfare organizations.

For those organizations reporting under the voluntary health and welfare organization model, the total on the functional expense statement shall articulate to the statement of activities.

If the statement of functional expenses as prescribed by the Department is included in the audited financial statements as supplementary information, the auditor should provide "in-relation-to" assurance in the auditor's report.

Functional categories on the prescribed statement should include a section "program services" which would include functional classifications as services provided by service providers and lead agencies. Also, a section "supporting services" should be included which accounts for the management and general, fundraising, unallowable and other support services expenses.

SERVICE PROVIDERS AND LEAD AGENCIES

Service Providers and Lead Agencies paid under unit rate contracts funded through awards passed through the Department shall provide a statement of functional expenses in accordance with the sample template provided (see sample A) in their audited financial statements. The functional expense template should be organized such that the natural classifications, defined expense line items (see definitions below) are in the left column, with each service, functional classification, performed by the provider as a column heading extending across the top. The services shown on the functional expense statement are those services as defined by the Department in the Client Services Manual. Currently, the Department is in the rule-making process for the service definition portion of the Client Services Manual. Once finalized, those services should be used for the columnar headings. Costs for each expense line item are broken out by service, with unallowable expenses shown separately. If there are no unallowable costs, please show a zero total in this column. See below for further discussion of unallowable costs.

A row should be included indicating the total number of units by service provided for the period under audit. If the service column or service is not unit-based, enter "N/A" for the number of units in the appropriate column.

Revenues derived from Department-administered awards are based on units of service produced. It is therefore requested that the program outputs or results (number of units of service provided) be tested to provide reasonable assurance that documentation exists to support that services were delivered for which revenues were earned.¹

CLASSIFICATION OF COSTS

As identified in the Auditor General's review of contracted services administered by the Department of Elder Affairs, administrative costs reported in the audited financial statements of the contractors and subcontractors varied widely. In an attempt to more consistently report administrative costs, the following guidelines should help to more clearly identify the appropriate classification and allocation of administrative costs. These guidelines apply to the preparation of the Statement of Functional Expenses, (see template) as well as to the unit cost methodology used in determining future contracted unit rates and actual unit costs.

¹ Footnote 6 to paragraph 27 of FASB Statement No. 117

Administrative Costs: Costs incurred for supporting activities such as management and general, and fund raising. *Management and general* activities are those that are not identifiable with a single program or fund-raising activity but that are indispensable to the conduct of those activities and to an organization's existence. They include oversight, business management, general record keeping, budgeting, financing, and all management and administration except for direct conduct of program services or fund-raising activities. The costs of oversight and management usually include the salaries and expenses of the governing board, the chief executive officer of the organization, and the supporting staff. However, if such staff spend a portion of their time directly supervising program services, their salaries and expenses should be allocated among those functions, proportionate to time spent on those activities. The costs of disseminating information to inform the public of the organization's "stewardship" should similarly be classified as management and general expenses.² According to the Office of Management and Budget, general administration and general expenses are those that have been incurred for the overall general executive and administrative offices of the organization and other expenses of a general nature which do not relate solely to any major function of the organization.

This category shall also include its allocable share of fringe benefit costs, operation and maintenance expense, depreciation and use allowances, membership dues, corporate filing fees and other costs to maintain general business operations. Examples of this category include central offices, such as the director's office, the office of finance, business services, budget and planning, personnel, safety and risk management, general counsel, management information systems, and library costs.³

(NOTE: Indirect cost is not synonymous with administrative costs.)

The following expense line items should be displayed on the functional expense statement as management and general (administrative):

1. Salaries – should include the executive director, fiscal office, human resources office, data processing office and all related supporting personnel for those offices.
2. Payroll taxes and benefits – should include the payroll taxes and fringe benefits associated with the personnel included in number 1.
3. Travel – should include all travel and related expenses associated with the personnel included in number 1.
4. Communications/Postage – should include all telephone, cell phone, pagers, and internet service for the administrative offices associated with the personnel in number 1. Also includes mailing costs of materials for the benefit of the organization as a whole.
5. Utilities- electric, water, sewer, and other utilities for the administrative offices associated with the personnel in number 1.
6. Advertising – Includes allowable public relations and advertisement costs related to recruitment of personnel as part of number 1, procurement of goods and services for the performance of an award, costs of communicating with the public and press pertaining to specific activities or accomplishments of an award and costs of conducting general liaison with news media and government public relations officers.
7. Insurance – Includes director's and officer's liability insurance and general liability insurance.
8. Maintenance & Repair – Costs incurred for necessary maintenance, repair, or upkeep of equipment associated with general and administrative functions which neither add to the permanent value of the property nor appreciably prolong its life.
9. Printing/ Supplies – Includes publication and printing costs. Also includes necessary office supplies used in the administration of the organization. Building costs – direct prorated portion of the cost of rental or maintenance which is related to the administrative offices associated with the personnel in number 1.
10. Equipment – Items of a non-capital nature that were purchased for general and administrative use.
11. Professional fees/Legal/Audit – Audit related costs, legal and other professional and consulting fees of a general nature.
12. Sub-contractors – Not a management and general (administrative) expense, but shown on the functional expense statement as a program service expense.
13. Program Supplies – Not a management and general (administrative) expense, but shown on the functional expense statement as a program service expense. Includes such expenses as those for incontinence supplies, assistive devices, appliances purchased for HCE consumers, etc. Could include printing costs for program- or service-specific materials.
14. Depreciation – For those items of a capital nature that were purchased for general and administrative use.
15. Food & Food supplies- Not a management and general (administrative) expense, but shown on the functional expense statement as a program service expense. The cost of the food provisions, the caterer, or food service subcontract.
17. Other – All other expenses not listed above which are of a management and general (administrative) nature. The total of this line item should not exceed 5% of the total management and general (administrative) cost category. If it does, a further breakdown of the line item expenses should be displayed.

Program Services Costs: Costs associated with the activities that result in goods and services being distributed to customers that fulfill the purposes or mission for which the organization exists. Those services are the major purpose for and the major output of the organization and often related to several major programs. Expenses for program services should be reported by the kind of service function or group of functions. For example, a health and welfare organization may have programs for health and family services, research, disaster relief, among others. ⁴

The following expense line items should be displayed on the functional expense statement as program service costs.

² AICPA Audit and Accounting guide Not-for-Profit Organizations, Supporting Services paragraphs 13.33-13.34

³ Office of Management and Budget Circular A-122, "Cost Principles for Non-Profit Organizations"

⁴ AICPA Audit and Accounting guide Not-for-Profit Organizations, Program Services paragraph 13.30

18. Salaries – should include the case managers, case aides, program managers, actual service workers, meal site coordinators, health professionals service contractors, and all related supporting personnel for those offices.

Personnel and associated expenses that would be allocated directly to services would normally include the following positions:

Program Managers

Case Managers, Case Aides

I & R, Counseling, education, & Screening staff

In-home workers (Chore, Respite, Personal Care, Homemaker)

Congregate & Home Delivered Meal staff and Nutrition staff

Home Health Workers

Recreation, Medication Management Health Promotion and Health Support Staff

Adult Day Care, and Respite staff

Any other position dedicated full time to providing a particular service or variety of services defined by the department, which equals 100% of their time.

**Personnel positions normally associated with Management & General activities (and their supporting costs) are not usually allocable to program services. However, if such staff spend a portion of their time directly supervising program services, their salaries and expenses should be allocated among those functions, proportionate to time spent on those activities. (As supported by a personnel activity report as described in OMB Circular A-122, Attachment B, paragraph 7., M.)

19. Payroll taxes and benefits – should include the payroll taxes and fringe benefits associated with the personnel included in number 18.
20. Travel – should include all travel and related expenses associated with the personnel included in number 18.
21. Communications/utilities – should include all telephone, electric, water, sewer, and other utilities for program service offices associated with the personnel in number 18.
22. Advertising – Includes allowable public relations and advertisement costs as a required service described in an award, and costs of communicating with the public and press pertaining to specific activities, events, or other accomplishments under an award.
23. Insurance – Includes general liability insurance for building space occupied by programs staff.
24. Maintenance & Repair – Costs incurred for necessary maintenance, repair, or upkeep of equipment associated with program functions which neither add to the permanent value of the property nor appreciably prolong its life.
25. Printing/Postage/Supplies – Includes the publication and printing, distribution, and mailing costs of materials for the benefit of a program (funding source) or specific service(s).
26. Building costs – The direct prorated portion of the cost of rental or maintenance, which is related to the program services offices associated with the personnel in number 18.
27. Equipment – Items of a non-capital nature that were purchased for program services use.
28. Professional fees/Legal/Audit – Audit related costs, legal and other professional and consulting fees specifically related to a program or service(s).
29. Sub-contractors – the revenues paid to individuals or entities for performance of a specific service or services required under the programs. Not a management and general (administrative) expense, but shown on the functional expense statement as a program service expense.
30. Program Supplies – Health aids, medical equipment, program-specific supplies, etc.
31. Depreciation – For those items of a capital nature that were purchased or for program service use.
32. Other – All other expenses not listed above which are related directly to program service activities. The total of this line item should not exceed 5% of the total expenses. If it does, a further breakdown of the line item expenses should be displayed.
33. Units of Services provided for the program must be listed on the Statement of Functional Expense by Service Type.

UNALLOWABLE COSTS

The costs of organized fund raising activities, while they may be necessary to the agency's operations, are unallowable, regardless of the purpose for which the funds will be used. Fund raising and investment activities shall be allocated an appropriate share of indirect costs.⁵

To be allowable, costs must:

- (1) demonstrate reasonableness for and comparability to current market conditions necessary for the performance of the contract to which they are allocated;
- (2) conform to any limitations or exclusions set forth in the Client Services Manual or in the contracts as to type or amount of cost items;

⁵ OMB Circular A-87, Attachment B, paragraph 21.

- (3) be consistent with policies and procedures that apply uniformly to both federally financed and general revenue activities of the Department;
- (4) be accorded consistent treatment;
- (5) be determined in accordance with generally accepted accounting principles;
- (6) for OAA funds, not be included as a cost or used to meet cost sharing or matching requirements of any other federally financed program in either the current or prior funding period;
- (7) be adequately documented.

The principles to be applied in establishing the allowability or unallowability of certain items of cost based on 45 CFR, Parts 74 and 92, for nonprofit organizations and 41 CFR, Subpart 1-15.2 for for-profit organizations is incorporated by reference to OMB Circular 122, Cost Principles for Nonprofit Organizations, Attachment B in its entirety. These principles apply to all of the Department's programs whether a cost is treated as direct or indirect. Failure to mention a particular item of cost is not intended to imply that it is unallowable; rather, determination as to allowableness in each case should be based on the treatment or principles provided for similar or related items of cost. The items herein incorporated by reference in OMB Circular A-122, Cost Principles for Nonprofit Organizations, OMB A-87, Cost Principles for state, local and Indian Tribal governments and OMB A-21, Cost Principles for Educational Institutions apply to nonprofit organizations, local governments and institutions of higher education.

Expenditures prohibited from state or federal funds, as applicable, unless expressly provided by law include, but are not limited to:

Congratulatory telegrams;
Flowers and/or telephone condolences;
Presentation of plaques for outstanding service;
Entertainment for visiting dignitaries;
Refreshments such as coffee and doughnuts;
Decorative items (globe, statues, potted plants, picture frames, wall hangings, etc.);
Greeting Cards (section 286.27, F.S.);
Alcoholic beverages;
Portable heaters and fans, refrigerators, stoves, microwave ovens, coffee pots, coffee mugs, etc.;
Clocks for private offices;
Meals, except those served to inmates and clients of State Institutions;
Lobbying expenses

**ATTACHMENT III
CERTIFICATIONS AND ASSURANCES**

DOEA will not award this Contract unless Sub-Recipient completes this CERTIFICATIONS AND ASSURANCES. In performance of this contract, Sub-Recipient provides the following certifications and assurances:

- A. **Debarment and Suspension Certification (29 CFR Part 95 and 45 CFR Part 75)**
- B. **Certification Regarding Lobbying (29 CFR Part 93 and 45 CFR Part 93)**
- C. **Nondiscrimination & Equal Opportunity Assurance (29 CFR Part 37 and 45 CFR Part 80)**
- D. **Certification Regarding Public Entity Crimes, section 287.133, F.S.**
- E. **Association of Community Organizations for Reform Now (ACORN) Funding Restrictions Assurance (Pub. L. 111-117)**
- F. **Scrutinized Companies Lists and No Boycott of Israel Certification, section 287.135, F.S.**
- G. **Certification Regarding Data Integrity Compliance for Contracts, Agreements, Grants, Loans, and Cooperative Agreements**
- H. **Verification of Employment Status Certification**
- I. **Records and Documentation**
- J. **Certification Regarding Inspection of Public Records**

A. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS – PRIMARY COVERED TRANSACTION.

The undersigned Sub-Recipient certifies, to the best of its knowledge and belief, that it and its principals:

- 1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by a Federal department or agency;
- 2. Have not within a three-year period preceding this Contract been convicted or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- 3. Are not presently indicted or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph A.2. of this certification; and/or
- 4. Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause of default.

The undersigned shall require that language of this certification be included in the documents for all subcontracts at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients and Sub-Recipients shall provide this certification accordingly.

B. CERTIFICATION REGARDING LOBBYING – CERTIFICATION FOR CONTRACTS, GRANTS, LOANS, AND COOPERATIVE AGREEMENTS.

The undersigned Sub-Recipient certifies, to the best of its knowledge and belief, that:

No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of Congress or an employee of a Member of Congress in

connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or employee of a Member of Congress in connection with a Federal contract, grant, loan, or cooperative agreement, the undersigned shall also complete and submit Standard Form – LLL, “Disclosure Form to Report Lobbying,” in accordance with its instructions.

The undersigned shall require that language of this certification be included in the documents for all subcontracts at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients and Sub-Recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this Contract was made or entered into. Submission of this certification is a prerequisite for making or entering into this Contract imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

C. NON-DISCRIMINATION & EQUAL OPPORTUNITY ASSURANCE (29 CFR PART 37 AND 45 CFR PART 80).

- As a condition of the Contract, Sub-Recipient assures that it will comply fully with the nondiscrimination and equal opportunity provisions of the following laws:

1. Section 188 of the Workforce Investment Act of 1998 (WIA), (Pub. L. 105-220), which prohibits discrimination against all individuals in the United States on the basis of race, color, religion, sex, national origin, age, disability, political affiliation, or belief, and against beneficiaries on the basis of either citizenship/status as a lawfully admitted immigrant authorized to work in the United States or participation in any WIA Title I-financially assisted program or activity.
2. Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 CFR Part 80), to the end that, in accordance with Title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the AAAPP.
3. Section 504 of the Rehabilitation Act of 1973 (Pub. L. 93-112), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 CFR Part 84), to the end that, in accordance with Section 504 of that Act and the Regulation, no otherwise qualified handicapped individual in the United States shall, solely by reason of his handicap, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the AAAPP.
4. The Age Discrimination Act of 1975 (Pub. L. 94-135), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 CFR Part 91), to the end that, in accordance with the Act and the Regulation, no person in the United States shall, on the basis of age, be denied the benefits of, be excluded from participation in, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the AAAPP.
5. Title IX of the Education Amendments of 1972 (Pub. L. 92-318), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 CFR Part 86), to the end that, in accordance with Title IX and the Regulation, no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any education program or activity for which the Applicant receives Federal financial assistance from the AAAPP.
6. The American with Disabilities Act of 1990 (Pub. L. 101-336), which prohibits discrimination in all employment practices, including job application procedures, hiring, firing, advancement, compensation, training, and other terms, conditions, and privileges of employment. It applies to recruitment, advertising, tenure, layoff, leave, fringe benefits, and all other employment-related activities.

7. Sub-Recipient also assures that it will comply with 29 CFR Part 37 and all other regulations implementing the laws listed above. This assurance applies to Sub-Recipient's operation of the WIA Title I – financially assisted program or activity, and to all contracts Sub-Recipient makes to carry out the WIA Title I – financially assisted program or activity. Sub-Recipient understands that DOE and the United States have the right to seek judicial enforcement of the assurance.

The undersigned shall require that language of this assurance be included in the documents for all subcontracts at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients and Sub-Recipients shall provide this assurance accordingly.

D. CERTIFICATION REGARDING PUBLIC ENTITY CRIMES, SECTION 287.133, F.S.

Sub-Recipient hereby certifies that neither it, nor any person or affiliate of Sub-Recipient, has been convicted of a Public Entity Crime as defined in section 287.133, F.S., nor placed on the convicted vendor list.

Sub-Recipient understands and agrees that it is required to inform DOE immediately upon any change of circumstances regarding this status.

E. ASSOCIATION OF COMMUNITY ORGANIZATIONS FOR REFORM NOW (ACORN) FUNDING RESTRICTIONS ASSURANCE (Pub. L. 111-117).

As a condition of the Contract, Sub-Recipient assures that it will comply fully with the federal funding restrictions pertaining to ACORN and its subsidiaries per the Consolidated Appropriations Act, 2010, Division E, Section 511 (Pub. L. 111-117). The Continuing Appropriations Act, 2011, Sections 101 and 103 (Pub. L. 111-242), provides that appropriations made under Pub. L. 111-117 are available under the conditions provided by Pub. L. 111-117.

The undersigned shall require that language of this assurance be included in the documents for all subcontracts at all tiers (including subcontracts, sub-grants and contracts under grants, loans and cooperative agreements) and that all sub-recipients and Sub-Recipients shall provide this assurance accordingly.

F. SCRUTINIZED COMPANIES LISTS AND NO BOYCOTT OF ISRAEL CERTIFICATION, SECTION 287.135, F.S.

In accordance with section 287.135, F.S., Sub-Recipient hereby certifies that it has not been placed on the Scrutinized Companies that Boycott Israel List and that it is not participating in a boycott of Israel.

If this Contract is in the amount of \$1 million or more, in accordance with the requirements of section 287.135, F.S., Sub-Recipient hereby certifies that it is not listed on either the Scrutinized Companies with Activities in Sudan List or the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List and that it does not have business operations in Cuba or Syria.

Sub-Recipient understands that pursuant to section 287.135, F.S., the submission of a false certification may result in the AAAPP terminating this contract and the submission of a false certification may subject Sub-Recipient to civil penalties and attorney fees and costs, including any costs for investigations that led to the finding of false certification.

If Sub-Recipient is unable to certify any of the statements in this certification, Sub-Recipient shall attach an explanation to this Contract.

G. CERTIFICATION REGARDING DATA INTEGRITY COMPLIANCE FOR CONTRACTS, AGREEMENTS, GRANTS, LOANS, AND COOPERATIVE AGREEMENTS

1. The Sub-Recipient and any Subcontractors of services under this contract have financial management systems capable of providing certain information, including: (1) accurate, current, and complete disclosure of the financial results of each grant-funded project or program in accordance with the prescribed reporting requirements; (2) the source and application of funds for all contract supported activities; and (3) the comparison of outlays with budgeted amounts for each award. The inability to process information in accordance with these requirements could result in a return of grant funds that have not been accounted for properly.

2. Management Information Systems used by the Sub-Recipient, Subcontractors, or any outside entity on which the Sub-Recipient is dependent for data that is to be reported, transmitted, or calculated have been assessed and verified to be capable of processing data accurately, including year-date dependent data. For those systems identified to be non-compliant, Sub-Recipients will take immediate action to assure data integrity.
3. If this contract includes the provision of hardware, software, firmware, microcode, or imbedded chip technology, the undersigned warrants that these products are capable of processing year-date dependent data accurately. All versions of these products offered by the Sub-Recipient (represented by the undersigned) and purchased by the state will be verified for accuracy and integrity of data prior to transfer.
4. In the event of any decrease in functionality related to time and date related codes and internal subroutines that impede the hardware or software programs from operating properly, the Sub-Recipient agrees to immediately make required corrections to restore hardware and software programs to the same level of functionality as warranted herein, at no charge to the state, and without interruption to the ongoing business of the state, time being of the essence.
5. The Sub-Recipient and any Subcontractors of services under this contract warrant that their policies and procedures include a disaster plan to provide for service delivery to continue in case of an emergency, including emergencies arising from data integrity compliance issues.

H. VERIFICATION OF EMPLOYMENT STATUS CERTIFICATION

As a condition of contracting with the AAAPP, Sub-Recipient certifies the use of the U.S. Department of Homeland Security's E-Verify system to verify the employment eligibility of all new employees hired by Sub-Recipient during the contract term to perform employment duties pursuant to this contract, and that any subcontracts include an express requirement that Subcontractors performing work or providing services pursuant to this Contract utilize the E-Verify system to verify the employment eligibility of all new employees hired by the Subcontractor during the entire contract term.

The Sub-Recipient shall require that the language of this certification be included in all sub-agreements, sub-grants, and other agreements/contracts and that all Subcontractors shall certify compliance accordingly.

This certification is a material representation of fact upon which reliance was placed when this Contract was made or entered into. Submission of this certification is a prerequisite for making or entering into this Contract imposed by Circulars A-102 and 2 CFR Part 200 and 215 (formerly OMB Circular A-110).

I. RECORDS AND DOCUMENTATION

The Sub-Recipient agrees to make available to AAAPP staff and/or any party designated by the AAAPP any and all contract related records and documentation. The Sub-Recipient shall ensure the collection and maintenance of all program related information and documentation on any such system designated by the AAAPP. Maintenance includes valid exports and backups of all data and systems according to AAAPP standards.

J. CERTIFICATION REGARDING INSPECTION OF PUBLIC RECORDS

1. In addition to the requirements of sections 10.1 and 10.2 of the Standard Contract, sections 119.0701(3) and (4) F.S., and any other applicable law, if a civil action is commenced as contemplated by section 119.0701(4), F.S., and the AAAPP is named in the civil action, Sub-Recipient agrees to indemnify and hold harmless the AAAPP for any costs incurred by the AAAPP and any attorneys' fees assessed or awarded against the AAAPP from a Public Records Request made pursuant to Chapter 119, F.S., concerning this contract or services performed thereunder.
 - a. Notwithstanding section 119.0701, F.S., or other Florida law, this section is not applicable to contracts executed between the AAAPP and state agencies or subdivisions defined in section 768.28(2), F.S.
2. Section 119.01(3), F.S., states if public funds are expended by an agency in payment of dues or membership contributions for any person, corporation, foundation, trust, association, group, or other organization, all the financial, business, and membership records of such an entity **which pertain to the public agency (Florida Department of Elder Affairs)** are public records. Section 119.07, F.S., states that every person who has custody of such a public record shall permit the record to be inspected and copied by any person desiring to do so, under reasonable circumstances.

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Additionally, I certify this organization does _____ does not ___X___ provide for institutional memberships.

Sub-Recipient’s signature below attests that records pertaining to the dues or membership application by the AAAPP are available for inspection if applicable, as stated above.

By execution of this contract, Sub-Recipient must include these provisions (A-J) in all related subcontract agreements (if applicable).

By signing below, Sub-Recipient certifies that the representations outlined in parts A through J above are true and correct.

 Ronald E. Oakley Chairman	37918 Meridian Avenue
Signature and Title of Authorized Representative	Street Address
Pasco County Board of County Commissioners	Dade City, Florida 33525
Sub-Recipient	City, State, Zip code




APPROVED
IN SESSION

MAR 09 2021

PASCO COUNTY
BCC

Attest E 2nd


Jilki Alvarez-Sowles, Esq., Clerk & Comptroller

ATTACHMENT IV

ASSURANCES-NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average forty-five (45) minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET, SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.


Note: Certain of these assurances may not be applicable to your project or program. If you have questions please contact the awarding agency. Further, certain federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

1. Has the legal authority to apply for federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-federal share of project cost) to ensure proper planning, management, and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the state, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of federal participation in purchases.

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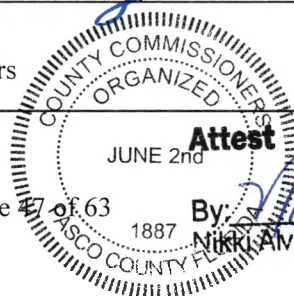
8. Will comply, as applicable, with the provisions of the Hatch Act (5 U.S.C. §1501-1508 and 7324-7328), which limit the political activities of employees whose principal employment activities are funded in whole or in part with federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §276a to 276a-7), the Copeland Act (40 U.S.C. 276c and 18 U.S.C. §874) and the Contract Work Hours and Safety Standards Act (40 U.S.C. §327-333), regarding labor standards for federally assisted construction sub-contracts.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000.00 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §1451 et seq.); (f) conformity of federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §1721 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §4801 et seq.), which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and 2 CFR Part 200.
18. Will comply with all applicable requirements of all other federal laws, executive orders, regulations and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL Ronald E. Oakley 	TITLE Chairman
APPLICANT ORGANIZATION Pasco County Board of County Commissioners	DATE SUBMITTED MAR 09 2021

APPROVED
IN SESSION

MAR 09 2021

PASCO COUNTY
BCC

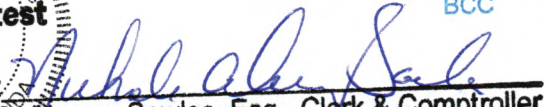


Attest

JUNE 2nd

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By:


 Nikki Alvarez-Sowles, Esq., Clerk & Comptroller

ATTACHMENT V

FLORIDA DEPARTMENT OF ELDER AFFAIRS CIVIL RIGHTS COMPLIANCE CHECKLIST

Program/Facility Name Pasco County Public Transportation	County Pasco	AAA/Sub-Recipient
Address 8620 Galen Wilson Boulevard	Completed By Martin A. Edwards-Clark, Administrative Services Manager	
City, State, Zip Code Port Richey, Florida 34668	Date 01/15/2021	Telephone 727.834.3200

PART I: READ THE ATTACHED INSTRUCTIONS FOR ILLUSTRATIVE INFORMATION WHICH WILL HELP YOU COMPLETE THIS FORM.

1. Briefly describe the geographic area served by the program/facility and the type of service provided:
 Fixed Route, Complimentary Paratransit and Demand Response services for the greater Pasco County area

For questions 2-5 please indicate the following:		Total #	% White	% Black	% Hispanic	% Other	% Female	% Disabled	% Over 40
2. Population of area served	Source of data: US Census	553,947	87.5	6.7	16.5	5.8	51.4	8.6	22.6
3. Staff currently employed	Effective date: 01/15/21	116	66	8.7	18.9	6.8	35	30	76.7
4. Clients currently enrolled/registered	Effective date: 01/15/21	625	37.9	3.2	2	1.4	40	42	
5. Advisory/Governing Board if applicable	County Commissioners	7	100				28.5		100

PART II: USE A SEPARATE SHEET OF PAPER FOR ANY EXPLANATIONS REQUIRING MORE SPACE. IF N/A or NO EXPLAIN.

6. Is an Assurance of Compliance on file with DOEA? N/A YES NO

7. Compare the staff composition to the population. Is staff representative of the population? N/A YES NO

8. Are eligibility requirements for services applied to clients and applicants without regard to race, color, national origin, sex, age, religion or disability? N/A YES NO

9. Are all benefits, services and facilities available to applicants and participants in an equally effective manner regardless of race, sex, color, age, national origin, religion or disability? N/A YES NO

10. For in-patient services, are room assignments made without regard to race, color, national origin or disability? N/A YES NO
PASCO COUNTY PUBLIC TRANSPORTATION does not provide in-patient services

11. Is the program/facility accessible to non-English speaking clients? N/A YES NO

12. Are employees, applicants and participants informed of their protection against discrimination? If YES, how?
 Verbal Written Poster N/A YES NO

13. Give the number and current status of any discrimination complaints regarding services or employment filed against the program/facility. N/A NUMBER

None 0

14. Is the program/facility physically accessible to mobility, hearing, and sight-impaired individuals? N/A YES NO

PART III: THE FOLLOWING QUESTIONS APPLY TO PROGRAMS AND FACILITIES WITH 15 OR MORE EMPLOYEES. IF NO EXPLAIN.

15. Has a self-evaluation been conducted to identify any barriers to serving disabled individuals, and to make any necessary modifications? YES NO

16. Is there an established grievance procedure that incorporates due process in the resolution of complaints? YES NO

17. Has a person been designated to coordinate Section 504 compliance activities? YES NO

18. Do recruitment and notification materials advise applicants, employees and participants of nondiscrimination on the basis of disability? YES NO

19. Are auxiliary aids available to assure accessibility of services to hearing and sight-impaired individuals? YES NO

PART IV: FOR PROGRAMS OR FACILITIES WITH 50 OR MORE EMPLOYEES AND FEDERAL CONTRACTS OF \$50,000.00 OR MORE.

20. Do you have a written affirmative action plan? If NO, explain. YES NO

DOEA USE ONLY			
Reviewed by _____		In Compliance: YES NO*	
Program Office		*Notice of Corrective Action Sent ___/___/___	
Date	Telephone	Response Due ___/___/___	
On-Site <input type="checkbox"/> Desk Review		Response Received ___/___/___	

ATTACHMENT V
INSTRUCTIONS FOR THE CIVIL RIGHTS COMPLIANCE CHECKLIST

1. Describe the geographic service area such as a district, county, city or other locality. If the program/facility serves a specific target population such as adolescents, describe the target population. Also, define the type of service provided.
2. Enter the percent of the population served by race and sex. The population served includes persons in the geographical area for which services are provided such as a city, county or other regional area. Population statistics can be obtained from local chambers of commerce, libraries, or any publication from the 1980 Census containing Florida population statistics. Include the source of your population statistics. (“Other” races include Asian/Pacific Islanders and American Indian/Alaskan Natives.)
3. Enter the total number of full-time staff and their percent by race, sex and disability. Include the effective date of your summary.
4. Enter the total number of clients who are enrolled, registered or currently served by the program or facility, and list their percent by race, sex and disability. Include the date that enrollment was counted.
5. Enter the total number of advisory board members and their percent by race, sex, and disability. If there is no advisory or governing board, leave this section blank.
6. Each recipient of federal financial assistance must have on file an assurance that the program will be conducted in compliance with all nondiscriminatory provisions as required in 45 CFR 80. This is usually a standard part of the contract language for DOEAs Recipients and their Sub-grantees, 45 CFR 80.4 (a).
7. Is the race, sex, and national origin of the staff reflective of the general population? For example, if 10% of the population is Hispanic, is there a comparable percentage of Hispanic staff?
8. Where there is a significant variation between the race, sex or ethnic composition of the clients and their availability in the population, the program/facility has the responsibility to determine the reasons for such variation and take whatever action may be necessary to correct any discrimination. Some legitimate disparities may exist when programs are sanctioned to serve target populations such as elderly or disabled persons, 45 CFR 80.3 (b) (6).
9. Do eligibility requirements unlawfully exclude persons in protected groups from the provision of services or employment? Evidence of such may be indicated in staff and client representation (Questions 3 and 4) and also through on-site record analysis of persons who applied but were denied services or employment, 45 CFR 80.3 (a) and 45 CFR 80.1 (b) (2).
10. Participants or clients must be provided services such as medical, nursing and dental care, laboratory services, physical and recreational therapies, counseling and social services without regard to race, sex, color, national origin, religion, age or disability. Courtesy titles, appointment scheduling and accuracy of record keeping must be applied uniformly and without regard to race, sex, color, national origin, religion, age or disability. Entrances, waiting rooms, reception areas, restrooms and other facilities must also be equally available to all clients, 45 CFR 80.3 (b).
11. For in-patient services, residents must be assigned to rooms, wards, etc., without regard to race, color, national origin or disability. Also, residents must not be asked whether they are willing to share accommodations with persons of a different race, color, national origin, or disability, 45 CFR 80.3 (a).
12. The program/facility and all services must be accessible to participants and applicants, including those persons who may not speak English. In geographic areas where a significant population of non-English speaking people live, program accessibility may include the employment of bilingual staff. In other areas, it is sufficient to have a policy or plan for service, such as a current list of names and telephone numbers of bilingual individuals who will assist in the provision of services, 45 CFR 80.3 (a).

13. Programs/facilities must make information regarding the nondiscriminatory provisions of Title VI available to their participants, beneficiaries or any other interested parties. This should include information on their right to file a complaint of discrimination with either the Florida Department of Elder Affairs or the U.S. Department of HHS. The information may be supplied verbally or in writing to every individual, or may be supplied through the use of an equal opportunity policy poster displayed in a public area of the facility, 45 CFR 80.6 (d).
14. Report number of discrimination complaints filed against the program/facility. Indicate the basis, e.g., race, color, creed, sex, age, national origin, disability, retaliation; the issues involved, e.g., services or employment, placement, termination, etc. Indicate the civil rights law or policy alleged to have been violated along with the name and address of the local, state or federal agency with whom the complaint has been filed. Indicate the current status, e.g., settled, no reasonable cause found, failure to conciliate, failure to cooperate, under review, etc.
15. The program/facility must be physically accessible to disabled individuals. Physical accessibility includes designated parking areas, curb cuts or level approaches, ramps and adequate widths to entrances. The lobby, public telephone, restroom facilities, water fountains, information and admissions offices should be accessible. Door widths and traffic areas of administrative offices, cafeterias, restrooms, recreation areas, counters and serving lines should be observed for accessibility. Elevators should be observed for door width, and Braille or raised numbers. Switches and controls for light, heat, ventilation, fire alarms, and other essentials should be installed at an appropriate height for mobility impaired individuals.
16. Section 504 of the Rehabilitation Act of 1973 requires that a recipient of federal financial assistance conduct a self-evaluation to identify any accessibility barriers. Self-evaluation is a four step process:
 - a. With the assistance of a disabled individual/organization, evaluate current practices and policies which do not comply with Section 504.
 - b. Modify policies and practices that do not meet Section 504 requirements.
 - c. Take remedial steps to eliminate any discrimination that has been identified.
 - d. Maintain self-evaluation on file. (This checklist may be used to satisfy this requirement if these four steps have been followed.), 45 CFR 84.6.
17. Programs or facilities that employ 15 or more persons must adopt grievance procedures that incorporate appropriate due process standards and provide for the prompt and equitable resolution of complaints alleging any action prohibited by Section 504.45 CFR 84.7 (b).
18. Programs or facilities that employ 15 or more persons must designate at least one person to coordinate efforts to comply with Section 504.45 CFR 84.7 (a).
19. Continuing steps must be taken to notify employees and the public of the program/facility's policy of nondiscrimination on the basis of disability. This includes recruitment material, notices for hearings, newspaper ads, and other appropriate written communication, 45 CFR 84.8 (a).
20. Programs/facilities that employ 15 or more persons must provide appropriate auxiliary aids to persons with impaired sensory, manual or speaking skills where necessary. Auxiliary aids may include, but are not limited to, interpreters for hearing impaired individuals, taped or Braille materials, or any alternative resources that can be used to provide equally effective services, 45 CFR 84.52 (d).
21. Programs/facilities with 50 or more employees and \$50,000.00 in federal contracts must develop, implement and maintain a written affirmative action compliance program in accordance with Executive Order 11246, 41 CFR 60 and Title VI of the Civil Rights Act of 1964, as amended.

ATTACHMENT VI
SUB-RECIPIENT'S STATE CONTRACT LIST

Sub-Recipient's State Contract List

REPORT PERIOD:

From: _____

To: _____

SUB-RECIPIENT INFORMATION:

Name: _____

Phone: _____

Address: _____

Email: _____

FEID: _____

Contact: _____

Contract #	Contract/ Program Name	State Agency/ Program	Start Date	End Date	Description of Contract Purpose/Types of Services	Contract Manager	Phone #	Contract Amount
1								\$ -
2								\$ -
3								\$ -
4								\$ -
5								\$ -
6								\$ -
7								\$ -
8								\$ -
9								\$ -
10								\$ -
11								\$ -
12								\$ -
13								\$ -
14								\$ -
15								\$ -
16								\$ -
17								\$ -
18								\$ -
19								\$ -
20								\$ -
Total								

SIGNATURE: _____

TITLE: _____

DATE: _____

January 2021 – December 2021

EA021-PASCO-TRANSPORTATION

ATTACHMENT VII
BACKGROUND SCREENING



BACKGROUND SCREENING
Affidavit of Compliance - Employer

AUTHORITY: This form is required annually of all employers to comply with the attestation requirements set forth in section 435.05(3), Florida Statutes.

- The term "employer" means any person or entity required by law to conduct background screening, including but not limited to, Area Agencies on Aging, Aging Resource Centers, Aging and Disability Resource Centers, Lead Agencies, Long-Term Care Ombudsman Program, Serving Health Insurance Needs of Elders Program, Service Providers, Diversion Providers, and any other person or entity which hires employees or has volunteers in service who meet the definition of a direct service provider. See §§ 435.02, 430.0402, Fla. Stat.
- A direct service provider is "a person 18 years of age or older who, pursuant to a program to provide services to the elderly, has direct, face-to-face contact with a client while providing services to the client and has access to the client's living area, funds, personal property, or personal identification information as defined in s. 817.568. The term includes coordinators, managers, and supervisors of residential facilities; and volunteers." § 430.0402(1)(b), Fla. Stat.

ATTESTATION:

I, the duly authorized representative of Board of County Commissioners
Employer Name

located at 3798 Meridian Avenue Dade City FL 33525
Street Address City State ZIP code

Ronald E. Oakley do hereby affirm under penalty of perjury
Name of Representative

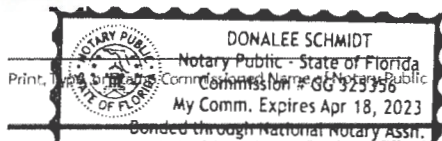
that the above named employer is in compliance with the provisions of Chapter 435 and section 430.0402, Florida Statutes, regarding level 2 background screening.

Ronald E. Oakley
Signature of Representative
Ronald E. Oakley

Date PASCO COUNTY
BCC

STATE OF FLORIDA, COUNTY OF Pasco

Sworn to (or affirmed) and subscribed before me this 9th day of March, 2021, by Ronald E Oakley (Name of Representative) who is personally known to me or produced _____ as proof of identification.



Donalee Schmidt
Notary Public

DOEA Form 2019 Affidavit of Compliance - Employer, Effective April 2012
Form available at: <http://elderaffairs.state.fl.us/english/backgroundscreening.php>

Section 435.05(3), F.S.

Attest
By: Nikki Alvarez-Sowles, Esq., Clerk & Comptroller
COUNTY COMMISSIONERS ORGANIZED BY PASCO COUNTY FLORIDA
JUNE 2nd 1887

APPROVED
IN SESSION
MAR 09 2021
PASCO COUNTY
BCC

January 2021 – December 2021

If unsure if subcontractor is a certified minority supplier, click on the hyperlink below. Enter the name of the supplier, click “search”. Only Certified Minority Business Entities will be displayed.

<https://osd.dms.myflorida.com/directories>

II. INSTRUCTIONS

- (A) ENTER THE COMPANY NAME AS IT APPEARS ON YOUR DOEA CONTRACT.
- (B) ENTER THE DOEA CONTRACT NUMBER.
- (C) ENTER THE SERVICE PERIOD MATCHING THE CURRENT INVOICE’S SERVICE PERIOD.
- (D) ENTER ALL CERTIFIED MINORITY BUSINESS EXPENDITURES FOR THE TIME PERIOD COVERED BY THE INVOICE:
 - 1. ENTER CERTIFIED MINORITY BUSINESS NAME.
 - 2. ENTER THE CERTIFIED MINORITY BUSINESS FEID NUMBER.
 - 3. ENTER THE CERTIFIED MINORITY BUSINESS CMBE NUMBER.
 - 4. ENTER THE AMOUNT EXPENDED WITH THE CERTIFIED MINORITY BUSINESS FOR THE TIME PERIOD COVERED BY THE INVOICE.
- (E) MBE FORM MUST ACCOMPANY INVOICE PACKAGE SUBMITTED TO DOEA FINANCIAL ADMINISTRATION FOR PROCESSING.
- (F) FINANCIAL ADMINISTRATION WILL FORWARD ALL COMPLETED MBE FORMS TO CONTRACT ADMINISTRATION & PURCHASING OFFICE

ATTACHMENT IX
BUDGET SUMMARY

PSA: 5

Agency: Pasco County Board of County Commissioners

1. Transportation		\$142,518.00
2.	Total	\$142,518.00

ATTACHMENT X
INVOICE SCHEDULE

Report Number	Based On	Submit to State On This Date
1	January Advance*	January 1
2	February Advance*	January 1
3	January Receipts and Expenditure Report and Request for Payment	February 8
4	February Receipts and Expenditure Report and Request for Payment	March 8
5	March Receipts and Expenditure Report and Request for Payment	April 8
6	April Receipts and Expenditure Report and Request for Payment	May 8
7	May Receipts and Expenditure Report and Request for Payment	June 8
8	June Receipts and Expenditure Report and Request for Payment ***	July 8
9	July Receipts and Expenditure Report and Request for Payment	August 8
10	August Receipts and Expenditure Report and Request for Payment	September 8
11	September Receipts and Expenditure Report and Request for Payment	October 8
12	October Receipts and Expenditure Report and Request for Payment	November 8
13	November Receipts and Expenditure Report and Request for Payment	December 8
14	December Receipts and Expenditure Report and Request for Payment	January 8
15	Final Receipts and Expenditure Report and Request for Payment	February 5
16	Closeout Report ***	February 15

Legend: * Advance based on projected cash need as supported by a cash-flow analysis or other information appropriate to demonstrate the Sub-Recipient's financial need for the advance.

Note # 1: Report #1 for Advance Basis Agreements cannot be submitted to the Department of Financial Services (DFS) prior to January 1 or until the agreement with the AAAPP has been executed and a copy sent to DFS. Actual submission of the vouchers to DFS is dependent on the accuracy of the Receipts and Expenditure report.

Note # 2: Report numbers 5 through 14 shall reflect an adjustment of one tenth of the total advance amount, on each of the reports, repaying advances issued the first two months of the agreement. The adjustment shall be recorded in Part C, 1 of the report (Attachment XI).

Note #3: Submission of Receipts and Expenditure reports may or may not generate a payment request. If the final Receipts and Expenditure report reflects funds due back to the AAAPP, payment is to accompany the report.

Note # 4: *** Bi-Annual Service Cost Reports are due with Report Number 8 and 16.

January 2021 – December 2021

EA021-PASCO-TRANSPORTATION

**ATTACHMENT XII
EXHIBIT 2
RECEIPTS AND EXPENDITURE REPORT**

PROVIDER NAME, ADDRESS, PHONE# AND FEID# 0 0 0 0 0 0 0 0 0 0	PROGRAM FUNDING SOURCE: Title III IIIB PSA _____	Contract # _____ Contract Period: _____ Report Period _____ Report # _____ Invoice# _____
--	---	---

CERTIFICATION : I certify to the best of my knowledge and belief that this report is complete and all outlays herein are for purposes set forth in the contract.

Prepared by : _____ Date : _____ Approved by : _____ Date : _____

PART A : BUDGETED INCOME/ RECEIPTS	1. Approved Budget	2. Actual Receipts For This Report	3. Total Receipts Year to Date	4. Percent of Approved Budget
1. Federal Funds	\$0.00	\$0.00	\$0.00	#DIV/0!
2. State Funds	\$0.00	\$0.00	\$0.00	#DIV/0!
3. Program Income - Non Match	\$0.00	\$0.00	\$0.00	#DIV/0!
4. Local Cash Match	\$0.00	\$0.00	\$0.00	#DIV/0!
5. SUBTOTAL: CASH RECEIPTS	\$0.00	\$0.00	\$0.00	#DIV/0!
6. Local In-Kind Match	\$0.00	\$0.00	\$0.00	#DIV/0!
7. TOTAL RECEIPTS	\$0.00	\$0.00	\$0.00	#DIV/0!

PART B : EXPENDITURES	1. Approved Budget	2. Expenditures For This Report	3. Expenditures Year to Date	4. Percent of Approved Budget
1. AAA Direct Services	\$0.00	\$0.00	\$0.00	#DIV/0!
2. Subcontractor	\$0.00	\$0.00	\$0.00	#DIV/0!
3. IIIB Set Aside	\$0.00	\$0.00	\$0.00	#DIV/0!
4. IIIB Set Aside DRR (Disaster Recovery Reserve)	\$0.00	\$0.00	\$0.00	#DIV/0!
5. TOTAL EXPENDITURES	\$0.00	\$0.00	\$0.00	#DIV/0!

PART C : OTHER EXPENDITURES (For Tracking Purposes only)	1. Approved Budget	2. Expenditures For This Report	3. Expenditures Year to Date	4. Percent of Approved Budget
1. Match				
a. Other and In-Kind	\$0.00	\$0.00	\$0.00	#DIV/0!
b. Local Match	\$0.00	\$0.00	\$0.00	#DIV/0!
2. Program Income	\$0.00	\$0.00	\$0.00	#DIV/0!
3. TOTAL OTHER	\$0.00	\$0.00	\$0.00	#DIV/0!

PART D: INTEREST	
1. Earned on Advances	<u> \$0.00 </u>
2. Return on Advances	<u> \$0.00 </u>
3. Other Earned	<u> \$0.00 </u>

January 2021 – December 2021

EA021-PASCO-TRANSPORTATION

**ATTACHMENT XII
EXHIBIT 2**

**RECEIPTS AND EXPENDITURE
REPORT OLDER AMERICAN ACT**

PROVIDER NAME, ADDRESS, PHONE# AND FEID# 0 0 0 0 0 0 0 0	PROGRAM FUNDING SOURCE : Title III IIIIE PSA _____	Contract # _____ Contract Period: _____ Report Period _____ Report # _____ Invoice# _____
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CERTIFICATION : I certify to the best of my knowledge and belief that this report is complete and all outlays herein are for purposes set forth in the contract.

Prepared by : _____ Date : _____ Approved by : _____ Date : _____

PART A : BUDGETED INCOME/ RECEIPTS	1. Approved Budget	2. Actual Receipts For This Report	3. Total Receipts Year to Date	4. Percent of Approved Budget
1. Federal Funds	\$0.00	\$0.00	\$0.00	#DIV/0!
2. State Funds	\$0.00	\$0.00	\$0.00	#DIV/0!
3. Program Income - Non Match	\$0.00	\$0.00	\$0.00	#DIV/0!
4. Local Cash Match	\$0.00	\$0.00	\$0.00	#DIV/0!
5. SUBTOTAL: CASH RECEIPTS	\$0.00	\$0.00	\$0.00	#DIV/0!
6. Local In-Kind Match	\$0.00	\$0.00	\$0.00	#DIV/0!
7. TOTAL RECEIPTS	\$0.00	\$0.00	\$0.00	#DIV/0!

PART B : EXPENDITURES	1. Approved Budget	2. Expenditures For This Report	3. Expenditures Year to Date	4. Percent of Approved Budget
1. AAA Direct Services	\$0.00	\$0.00	\$0.00	#DIV/0!
2. Sub-Contracted Services	\$0.00	\$0.00	\$0.00	#DIV/0!
3. TOTAL EXPENDITURES	\$0.00	\$0.00	\$0.00	#DIV/0!

PART C : OTHER EXPENDITURES (For Tracking Purposes only)	1. Approved Budget	2. Expenditures For This Report	3. Expenditures Year to Date	4. Percent of Approved Budget
1. Match				
a. Other and In-Kind	\$0.00	\$0.00	\$0.00	#DIV/0!
b. Local Match	\$0.00	\$0.00	\$0.00	#DIV/0!
2. Program Income	\$0.00	\$0.00	\$0.00	#DIV/0!
3. TOTAL OTHER	\$0.00	\$0.00	\$0.00	#DIV/0!

PART D : EXPENDITURES ANALYSIS	2. Units of Services Year to Date	3. Number of People Served Year to Date
1. Expenditures by Services Year to Date:		
1. Information.....	\$0.00	0
2. Assistance.....	\$0.00	0
3. Counseling.....	\$0.00	0
4. Respite.....	\$0.00	0
5. Supplemental Services.....	\$0.00	0
6. TOTAL.....	\$0.00	0

Part B Line 3, column 3 should be equal to this total.

PART E : GRANDPARENT SERVICES (reported by Federal Fiscal Year)

FFY _____ \$ _____ FFY _____ \$ _____ FFY _____ \$ _____ Match \$ _____

Match \$ _____ Match \$ _____

PART F: INTEREST	
1. Earned on Advances	_____ \$0.00
2. Returned on Advances	_____ \$0.00
3. Other Earned	_____ \$0.00

January 2021 – December 2021

EA021-PASCO-TRANSPORTATION

ATTACHMENT XIII**SERVICE RATE REPORT**

Service	Reimbursement Unit Rate	Units	Funding	Method of Payment	Units Type
Transportation	\$25.00	5,700.75	\$142,518.00	Fixed Fee/Unit Rate	ONE WAY TRIP
TOTAL III(B) Services			\$142,518.00		

ATTACHMENT XIV

OAA ANNUAL VOLUNTEER ACTIVITY REPORT SPECIFICATIONS

I. Categories & Definitions

Direct Volunteers: Volunteers who have person-to-person contact with clients (e.g. I&R specialists, SHINE counselors, and individuals providing respite and companionship).

Indirect Volunteers: Volunteers who coordinate, manage, or participate in specific services, programs, or activities that assist paid staff in planning, implementing, and evaluating the goals and objectives of an organization (e.g. speaker's bureau volunteer, data entry, board members, etc.).

Episodic Volunteers: Volunteers who participate in a specific, one-time event for a limited time, regardless of direct or indirect volunteers.

NOTE: A volunteer can only be counted once. Select the category (direct, indirect, or episodic) that best describes the individual volunteer and his/her volunteer work.

Unduplicated direct or indirect volunteers: Number of volunteers who perform service activities.

II. Survey Questions

- i. User input: Email address
- i. User input: Survey questions
 - 1. Number of unduplicated direct service volunteers.
 - 1. Number of unduplicated indirect service volunteers.
 - 2. Number of episodic volunteers engaged during the contract year.
 - 3. Total number of direct volunteer hours served.
 - 4. Total number of indirect volunteer hours served.
 - 5. Total number of episodic volunteer hours served.
 - 6. Number of clients served by volunteers.
 - 7. Number of volunteers age 60 or older.
 - 8. Number of volunteers under age 60.

III. Service Categories

Groupings for reporting the number of volunteers providing services during the data collection period (January 1 – December 31). If there are no activities during the year, enter zero.

Adult Day Care: Non-residential facility specializing in providing activities for elderly individuals or individuals with disabilities. Operates ten to twelve (10 – 12) hours per day and provides meals, social or recreational outings, and general supervision.

Advisory Council/Board Membership: Group of experts and leaders in an industry who share knowledge, contacts, and leadership skills to benefit an organization; comprised of people who have no material interests in the organization other than their directorship and who are responsible for a fiduciary role within the organization.

AmeriCorps: Network of national service programs that engage members and community volunteers in intensive service to meet needs in education, public health, and the environment. This service produces volunteers who will provide direct services to clients.

AmeriCorps Vista: VISTA members commit to serve full-time for a year at a nonprofit organization or local government agency, working to fight illiteracy, improve health services, create businesses, strengthen community groups, and much more. This service produces volunteers who will provide direct services to clients.

Clerical/Administration: Complex work tasks performed under direction in support of one or more persons serving in an administrative or professional capacity; completion of routine administrative tasks directly related to the work of supervisor(s).

Companionship Programs: Programs that help elders to help other elders with their daily lives. Tasks may include grocery shopping, household chores, going out to lunch, or simply visiting the elders to keep them company.

Congregate Meals: Meal service in a group setting to individuals who cannot prepare or obtain nutritionally adequate meals themselves. Meals assist individuals to maintain a nutritious diet.

Consumer Education/Counseling: Enhances the capacity of mature consumers to navigate the increasingly complex marketplace. Consumer education programs provide significant benefits, including identification of market information, compliant and consumer redress procedures, and understanding of a technology-based consumer environment.

Disaster Preparation/Recovery: Services provided in coordination with American Red Cross, FEMA, and local task forces in response or in preparation of a disaster.

Education: Community outreach program of an academic institution that offers educational and cultural programs specifically designed to foster and support lifelong learning.

Foster Grandparents: Provides a way for volunteers age 55 and older to stay active by serving children and youth in their communities, through tutoring and mentoring.

Fundraising: Any service directly related to the solicitation of contributions for a committee, program, or organization.

Health Promotion: Programs specifically for older adults in the areas of health education, physical activity, coordinated screening, and mental health.

Home Delivered Meals: Meal service delivered to the homes to individuals who cannot prepare or obtain nutritionally adequate meals for themselves. Meals assist individuals to maintain a nutritious diet.

Homemaker Programs: Programs provide in-home services to elders at-risk of premature placement in a nursing home. Clients must be 60 years of age or older.

Home Repair: Home improvement, remodeling, or handyman work, including small odd jobs, and routine tasks.

Information and Referral: One-to-one services directing elders to appropriate programs and resources based on elders' needs and specialized knowledge of aging services within the community.

Intergenerational: Activities or programs that increase cooperation, interaction, or exchange between any two or more generations.

Legal Assistance: Legal services to elders age 60 and older that deal with issues such as property taxes, mobile home, and other landlord tenant issues, advance directives, Medicaid eligibility, Social Security denial, and state and local welfare benefit denials.

RSVP: Retired Senior Volunteer Program includes a network for individuals age 55 and older who use their skills and talents in volunteer activities such as home repairs, tutoring and mentoring, and assisting victims of natural disasters.

Recreation: Programs for older adults including health education, physical activity, and coordinated screening for physical and mental health.

RELIEF: Respite for Elders Living in Everyday Families offers respite services to caregivers of frail elders and those with Alzheimer's disease and related dementia.

Respite: Service that provides a temporary break for a family member from daily caregiving responsibilities.

Senior Companions: Volunteers provide services to elders at risk of institutionalization due to chronic illness, disability, or isolation. Services may include transportation to medical appointments, shopping assistance, meal preparation, companionship, and advocacy, as well as respite for caregivers.

Senior Fraud Abuse Prevention Programs: Safety education and prevention strategies for elder abuse, Medicare fraud and abuse, and identity theft, and programs on home safety.

SHINE: Serving Health Insurance Needs of Elders provides educational materials and free, unbiased insurance counseling to Florida elders, caregivers, and family members.

Special Events: Time-limited events.

Speakers' Bureau: Service that provides the right professional speaker for every type of event.

Telephone Reassurance: Scheduled telephone calls to check on homebound elders, which may include a friendly visit from law enforcement or volunteers.

Transportation: Service provided for older adults and individuals with disabilities including lift-equipped vehicles for wheelchair transport and medical transportation for appointments, dialysis, and chemotherapy.